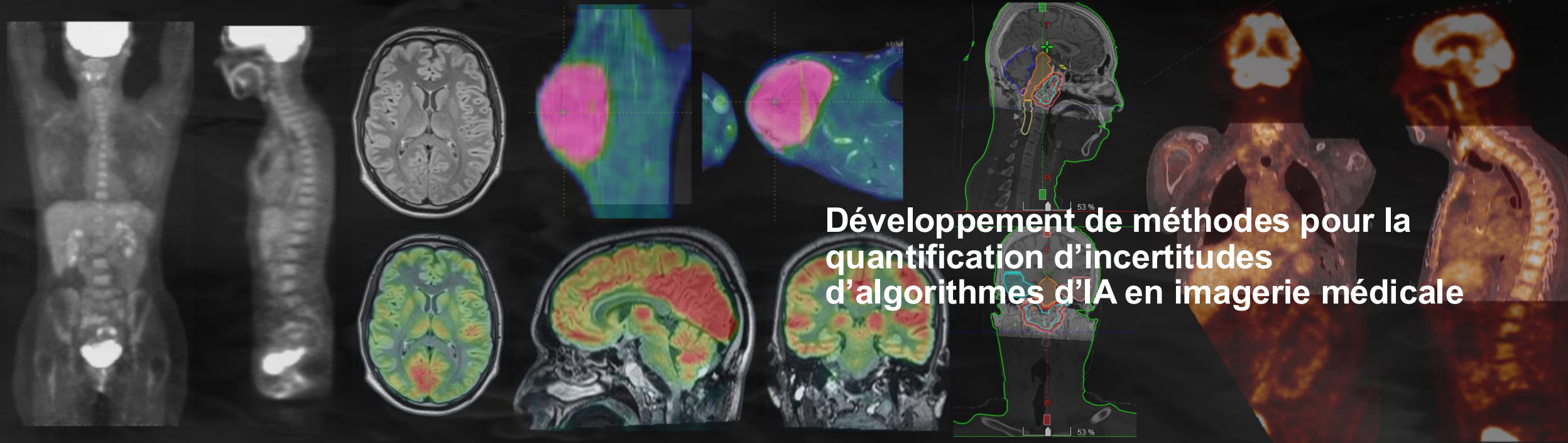


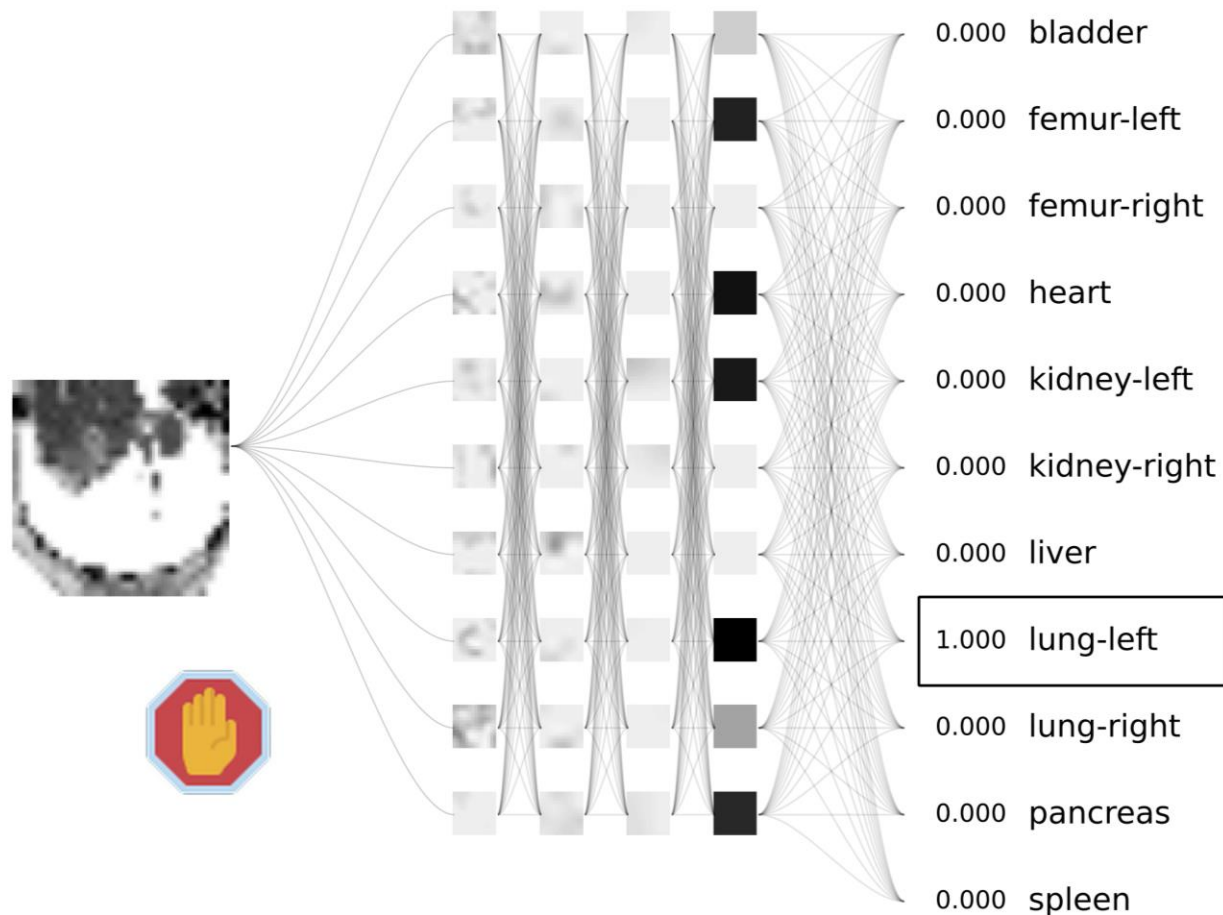
# LITO



Développement de méthodes pour la  
quantification d'incertitudes  
d'algorithmes d'IA en imagerie médicale

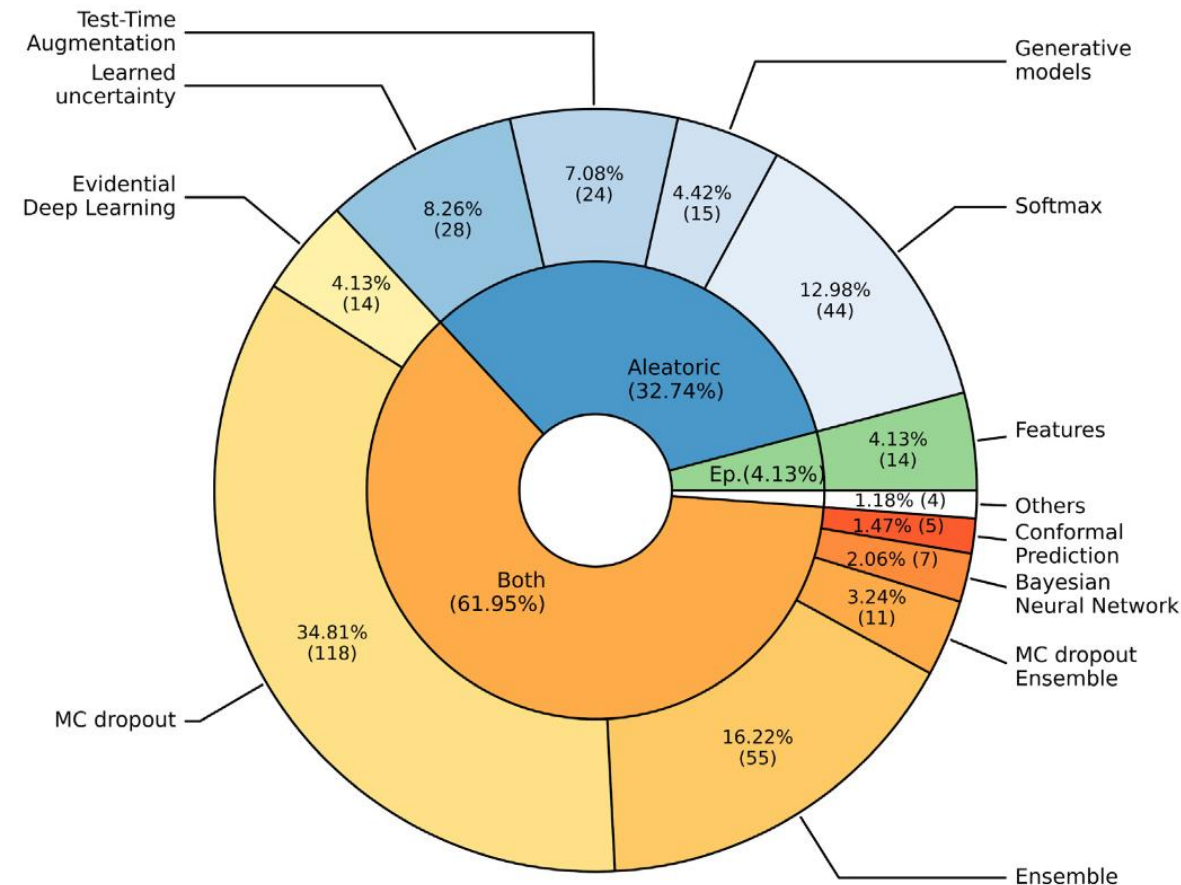
# Uncertainty Quantification – Definition

- Increasing use of ML in medical images analysis (classification, segmentation)
- Despite good performances, lack of interpretability and capacity to anticipate failure predictions → poor adoption in clinical practice
- Failures prediction → help increasing confidence in ML algo usage

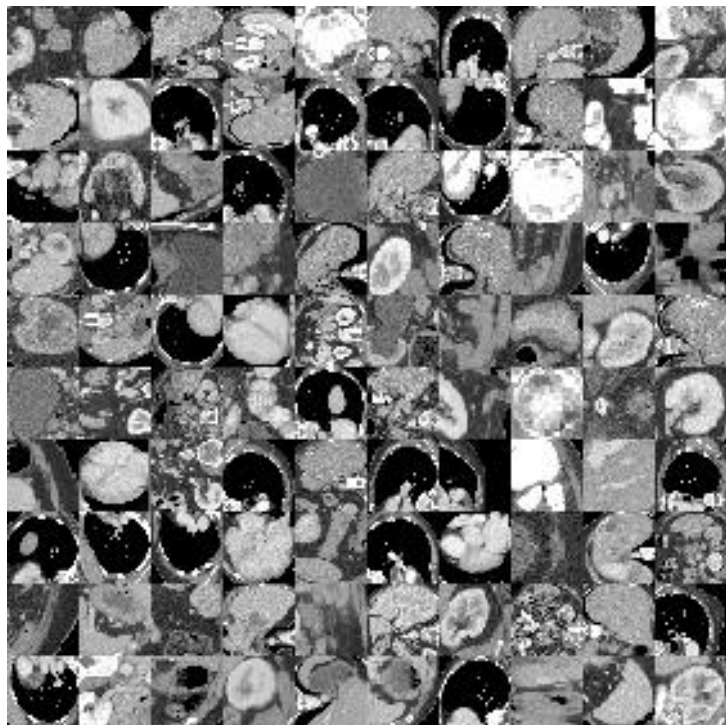


# Uncertainty Quantification – Definition

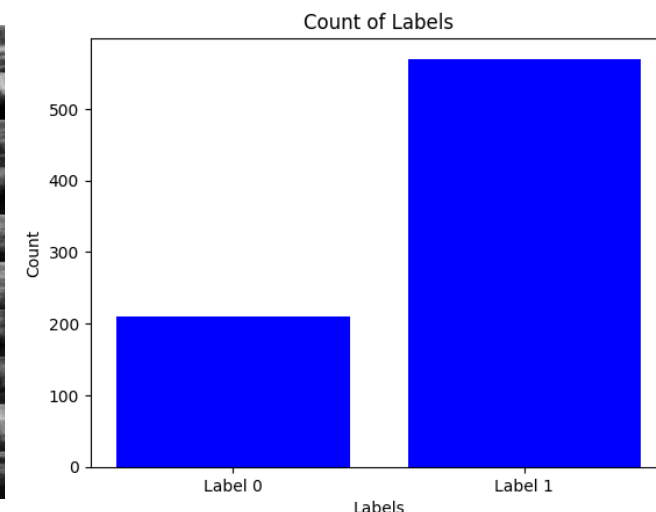
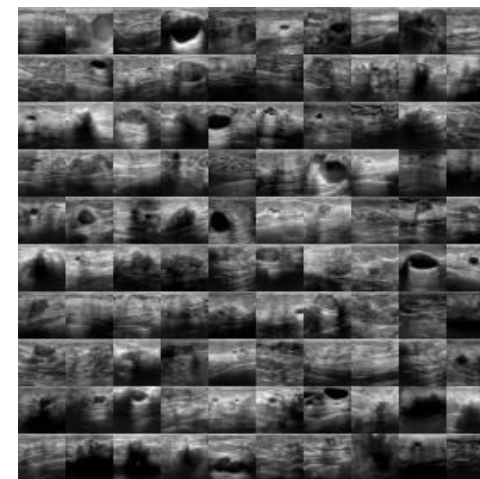
- Already a lot of methods proposed
- Intrinsic vs Post-Hoc
- Posthoc Uncertainty Quantification : Methods to predict algorithm failures with no model alteration



# medMNIST – Classification use cases



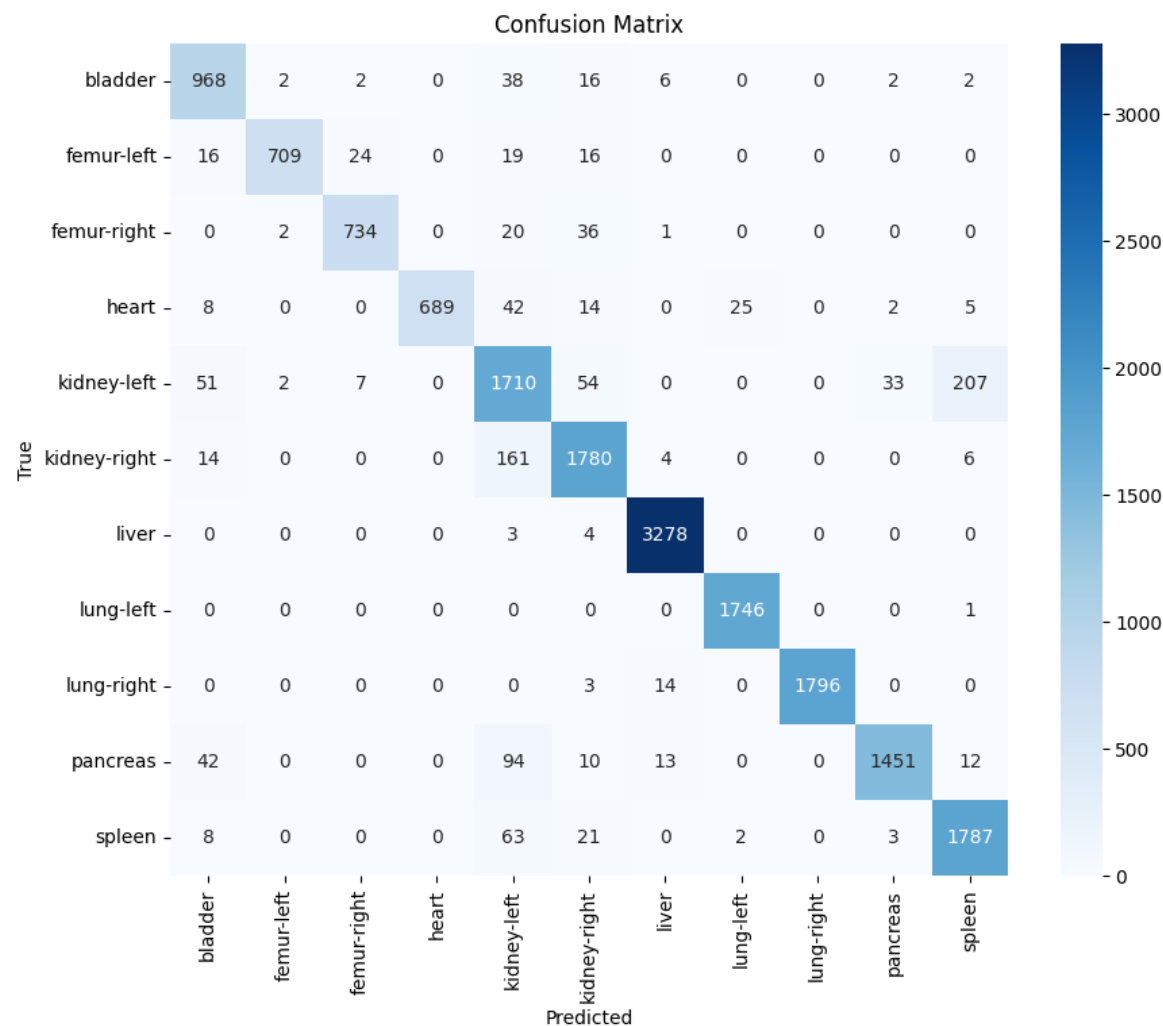
- OrganAMNIST: 11-class classification of 58830 organs CT sections (28x28 pixels)
- Resnet18 training – 5X cross validation (train/val: 55% calibration: 15% test: 30%)



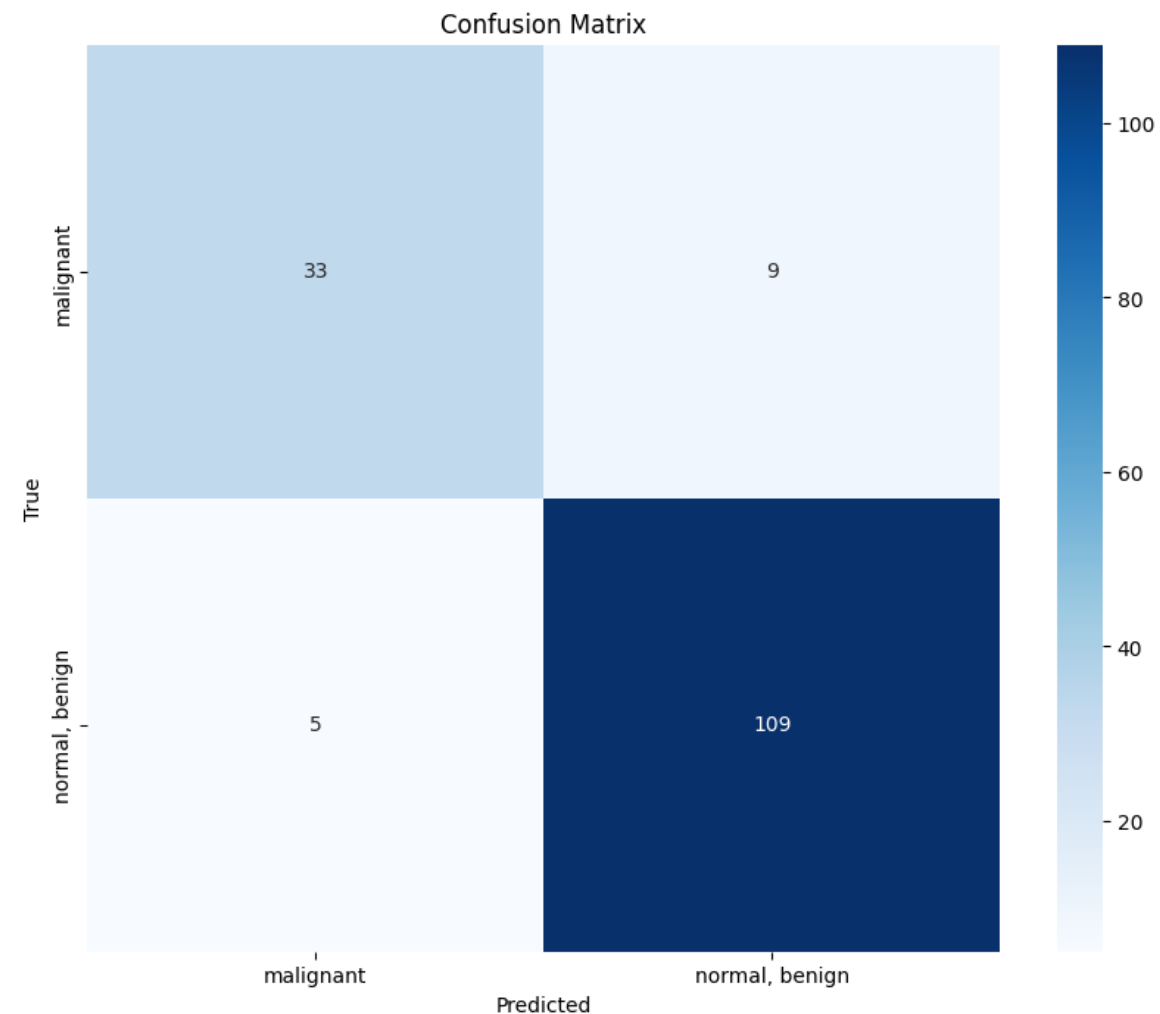
- BreastMNIST: binary classification of 780 US sections of breast tumors (28x28 pixels), malignant vs normal, benign
- Resnet18 training – 5X cross validation (train/val: 55% calibration: 15% test: 30%)



# Resnet18 results



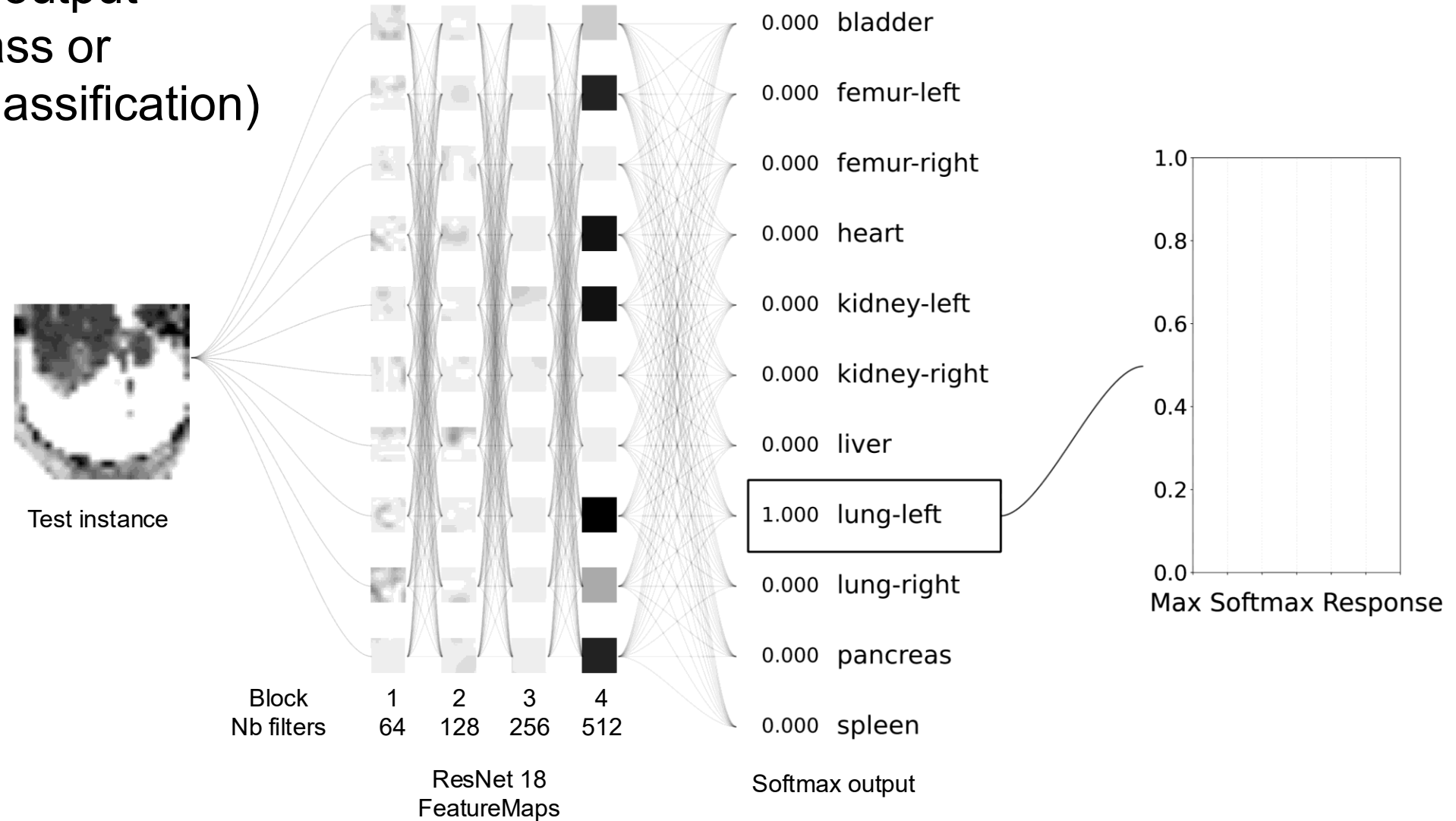
- Sensitivity: 0.93 | Specificity : 0.99 | bACC : 0.93 | AUC : 0.995



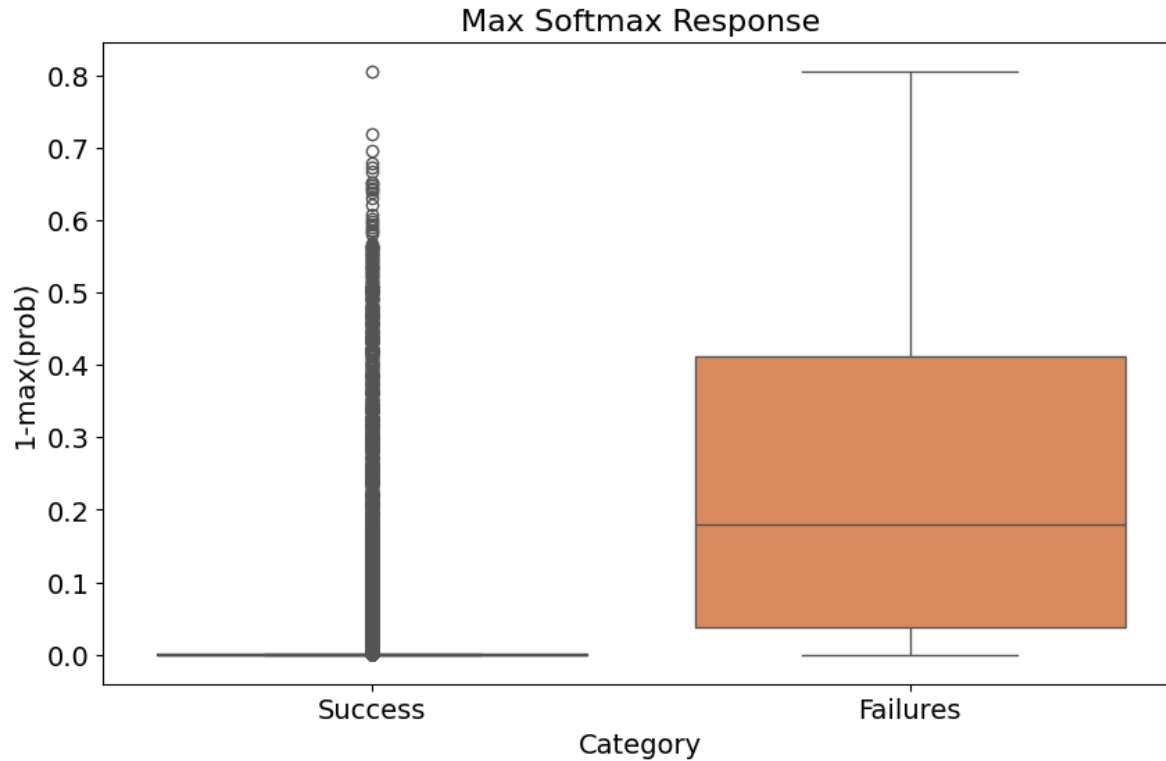
- Sensitivity: 0.96 | Specificity : 0.87 | bACC : 0.87 | AUC : 0.93

# Max Softmax Response (MSR) – Distance to hard labels (DHL)

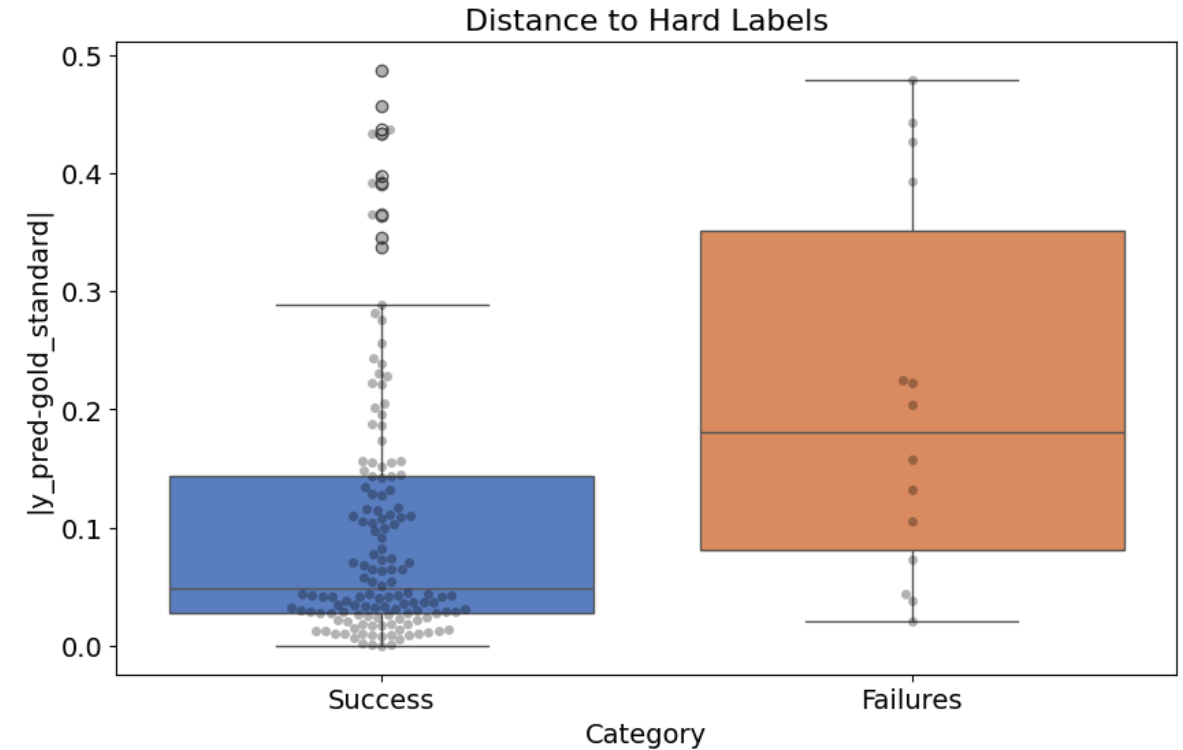
Direct use of model output  
(softmax for multiclass or  
sigmoid for binary classification)



# Max Softmax Response (MSR) – Distance to Hard Labels (DHL)



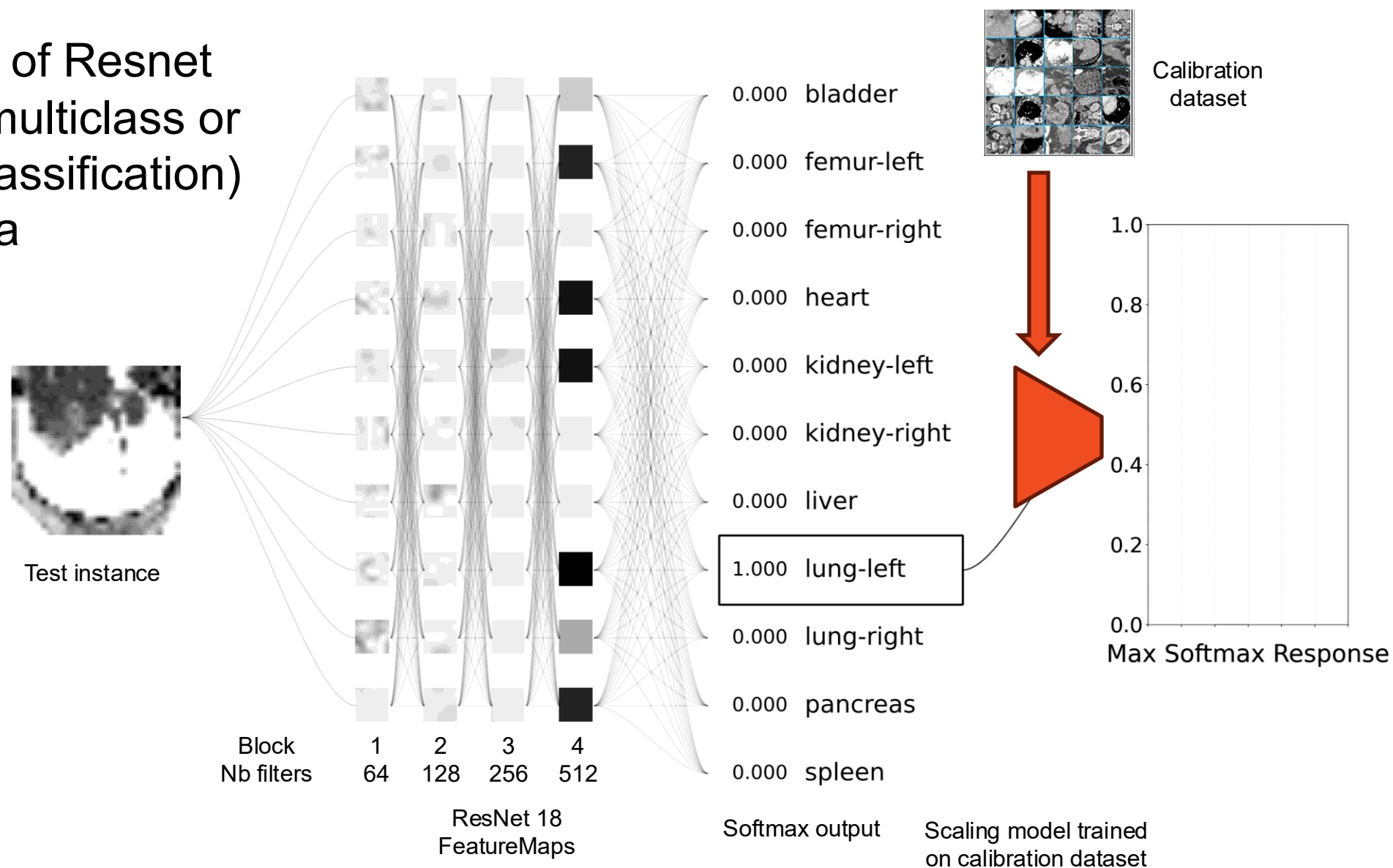
MSR OrganAMNIST  
(AUC=0.94)



DHL BreastMNIST  
(AUC=0.73)

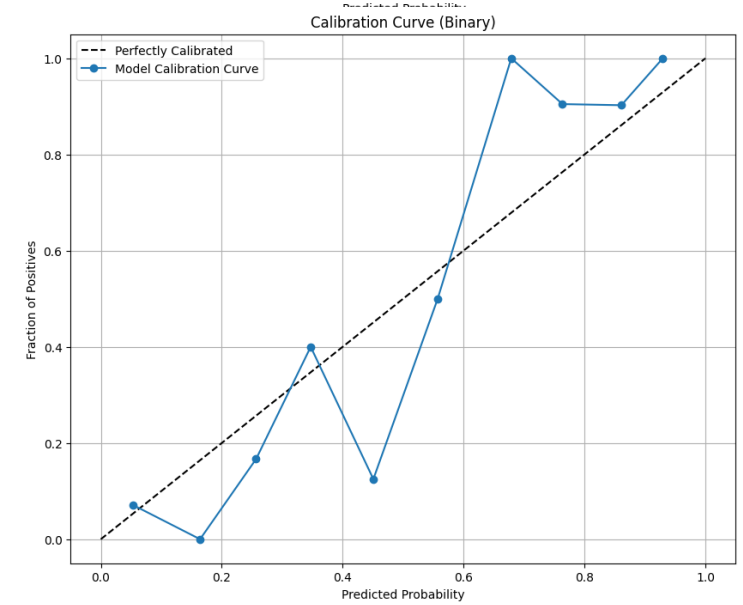
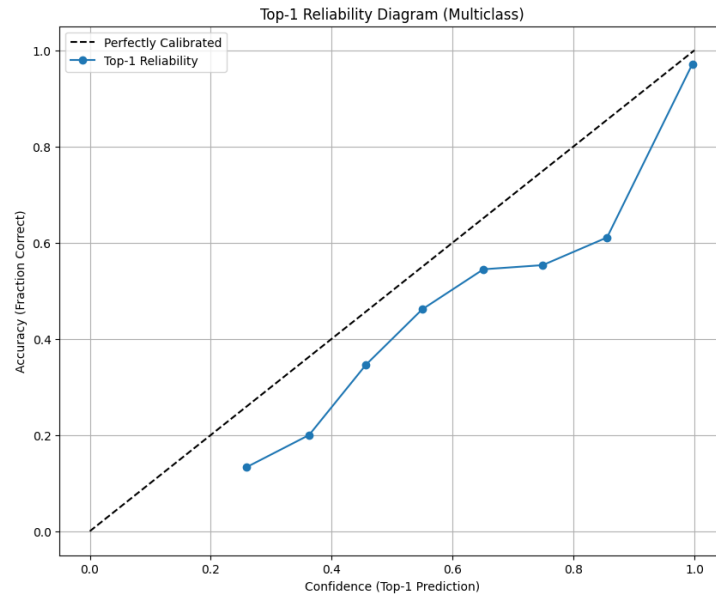
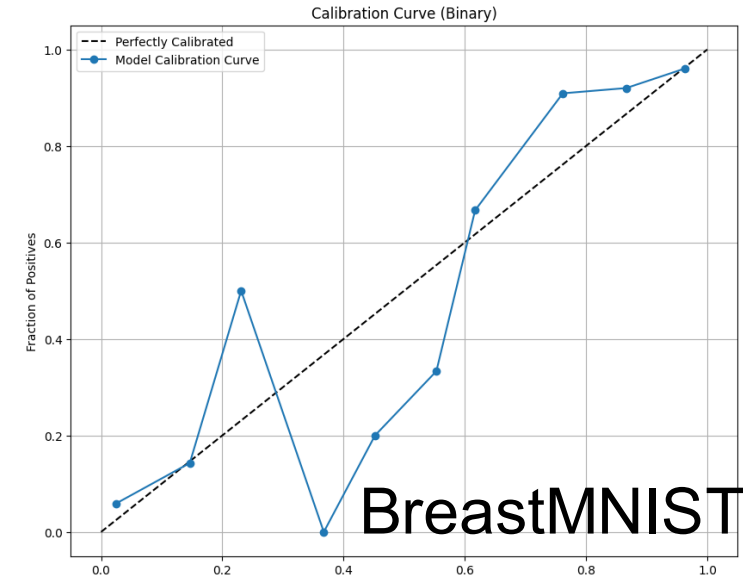
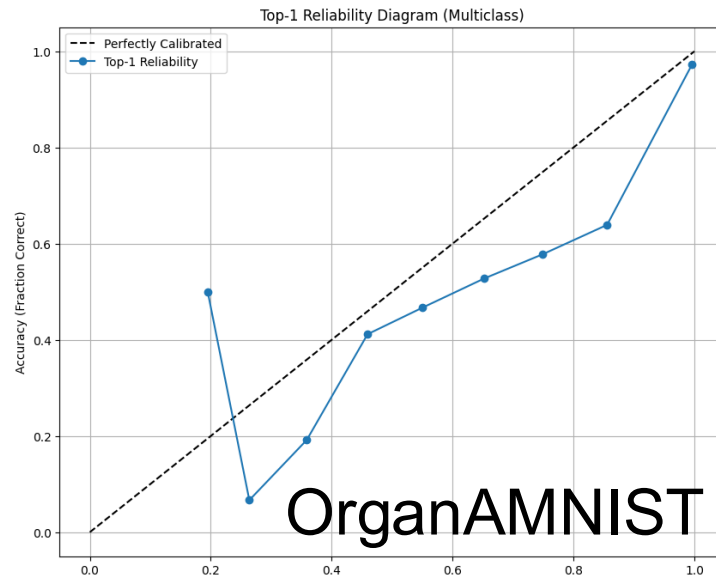
# MSR – DHL after Recalibration using calibration set

Temperature scaling of Resnet output (softmax for multiclass or sigmoid for binary classification) using calibration data

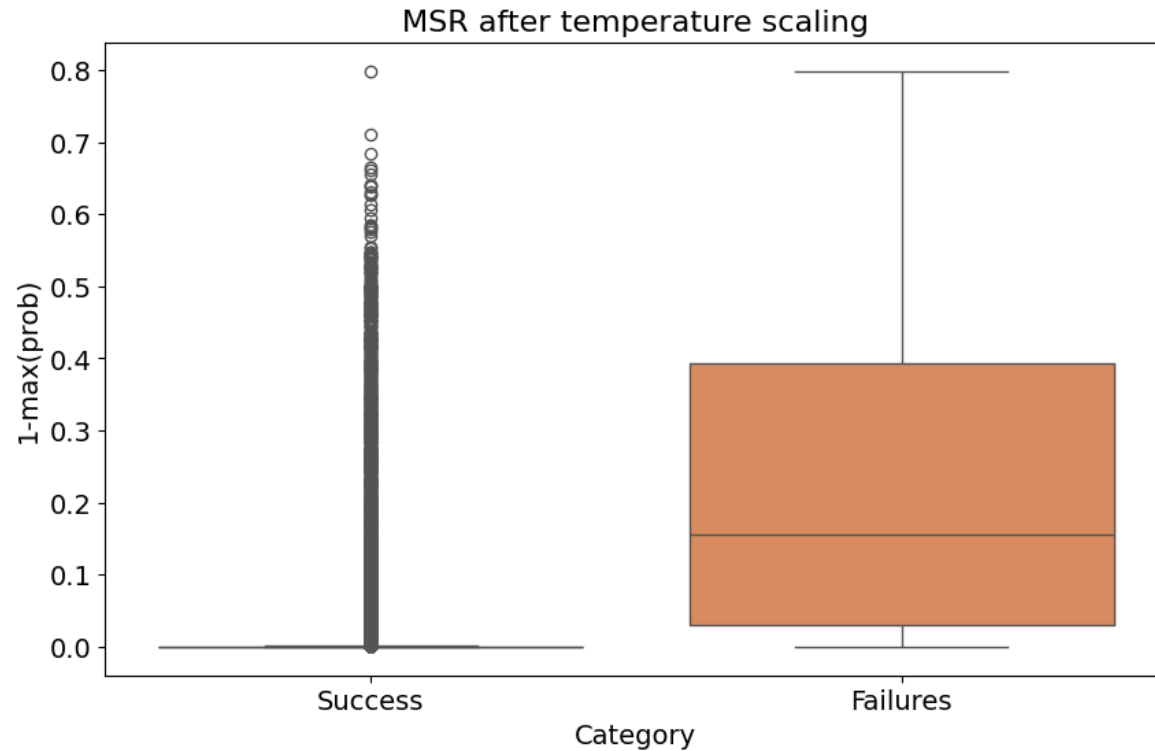




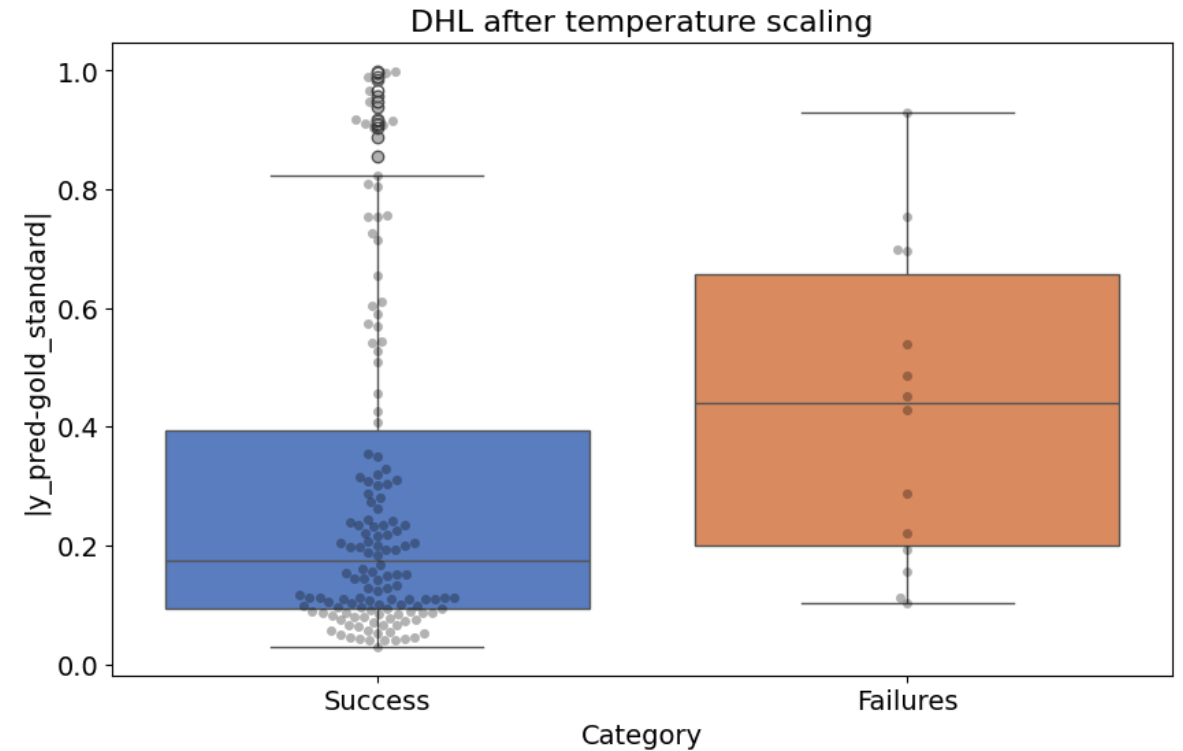
# Before vs After model calibration



# MSR – DHL after temperature scaling



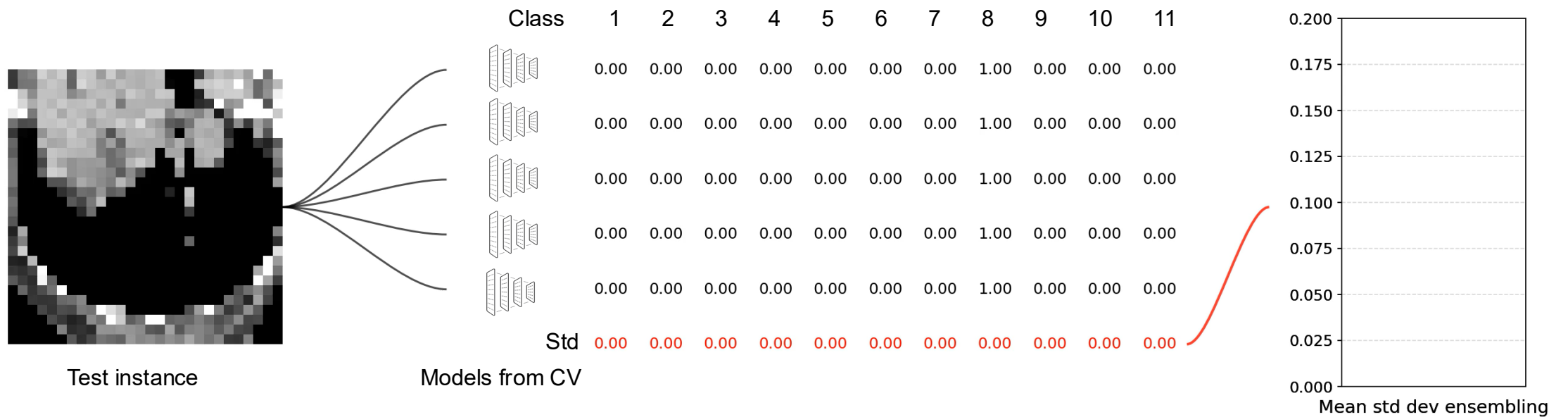
MSR OrganAMNIST  
(AUC=0.94)



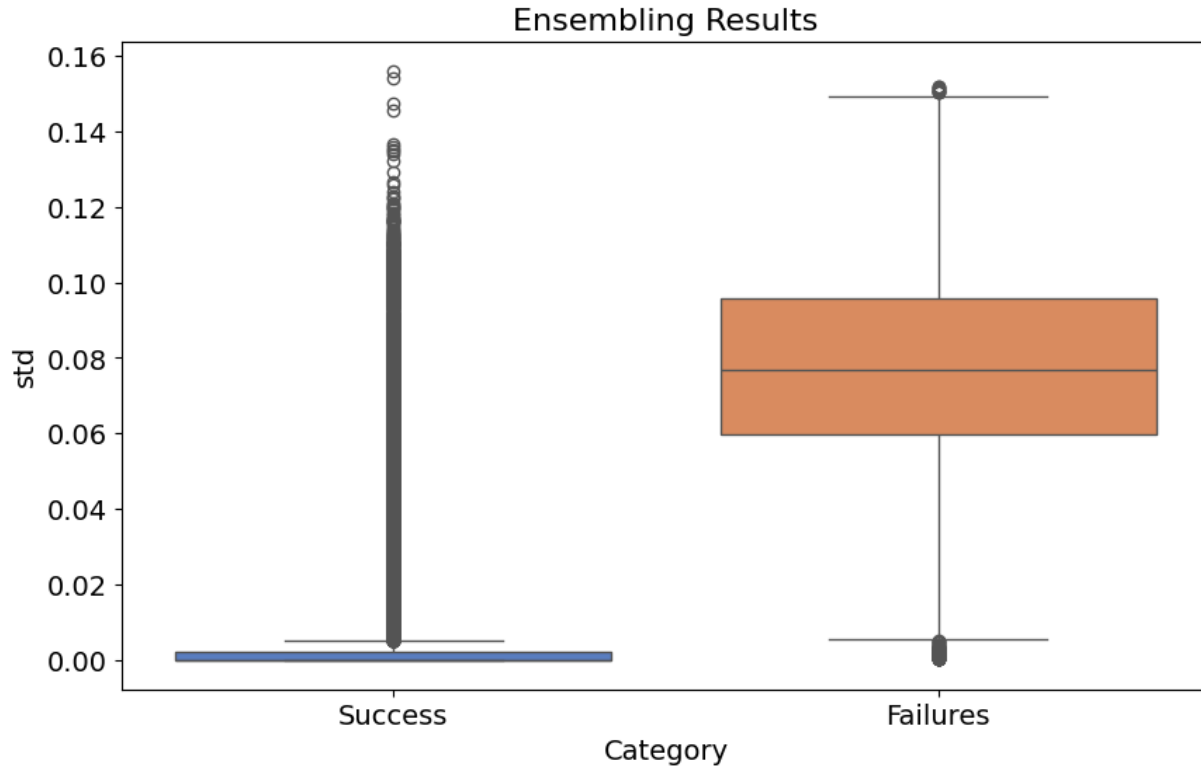
DHL BreastMNIST  
(AUC=0.68)

# Ensembling (Ens)

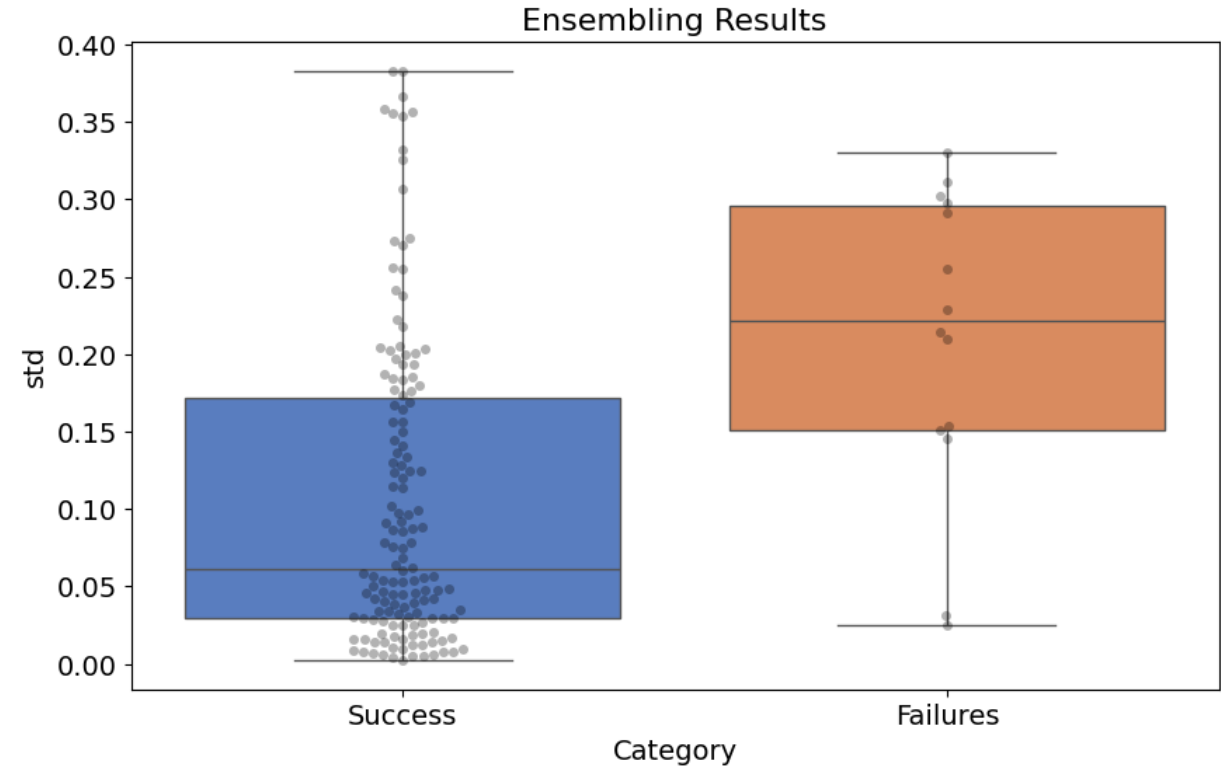
Standard deviation of models output (softmax for multiclass – sigmoid for binary classification)



# Ensembling SD



Ensembling std  
OrganAMNIST (AUC=0.93)



Ensembling std  
BreastMNIST (AUC=0.77)

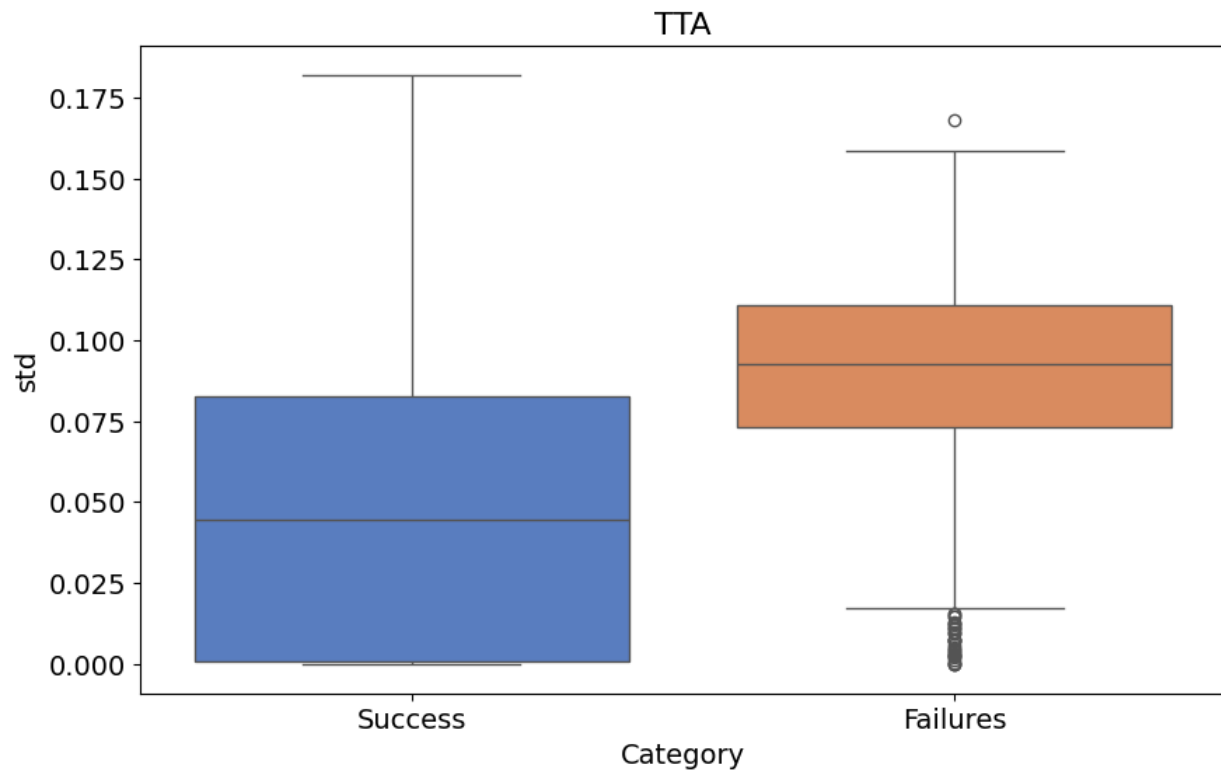
# Test Time Augmentation (TTA)

Standard deviation of predictions after random augmentations  
(softmax for multiclass – sigmoid for binary classification)

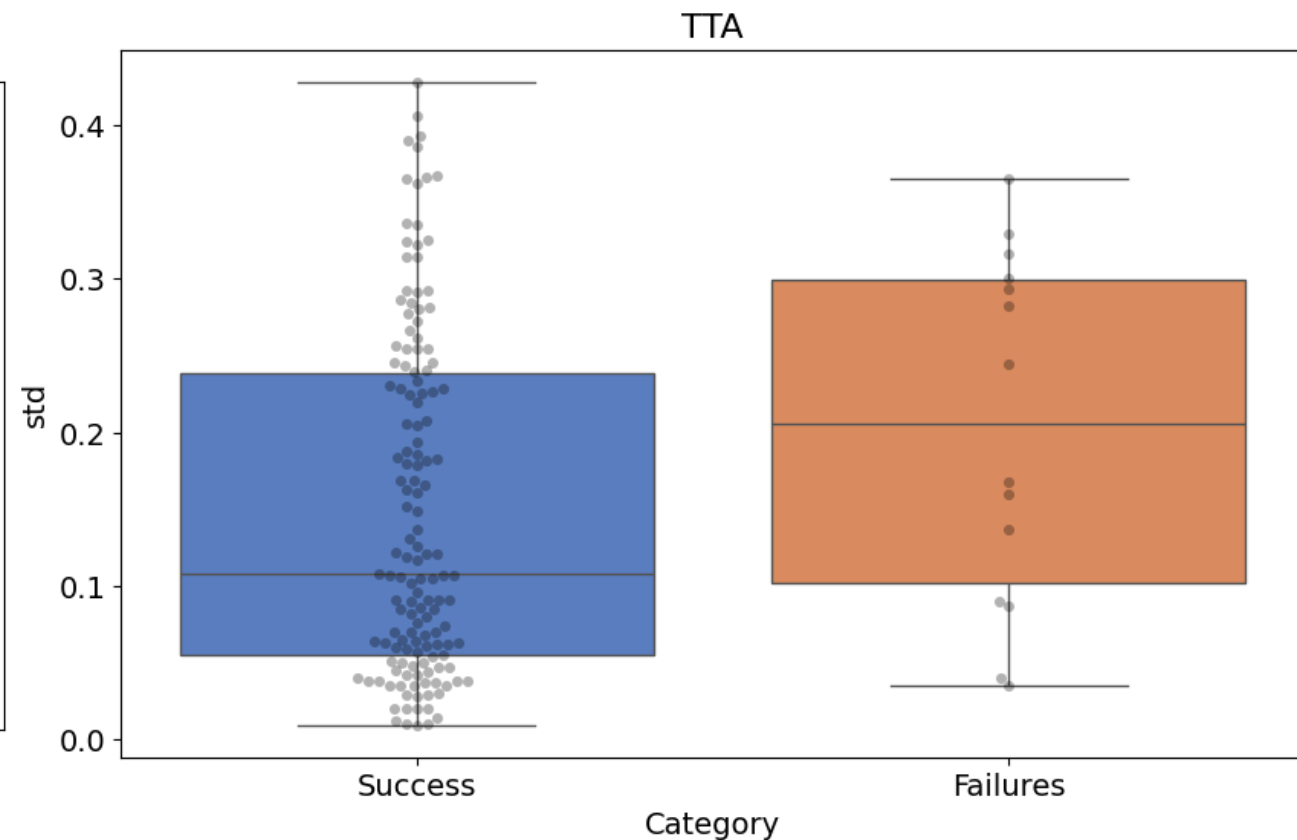




# Test Time Augmentation (TTA)



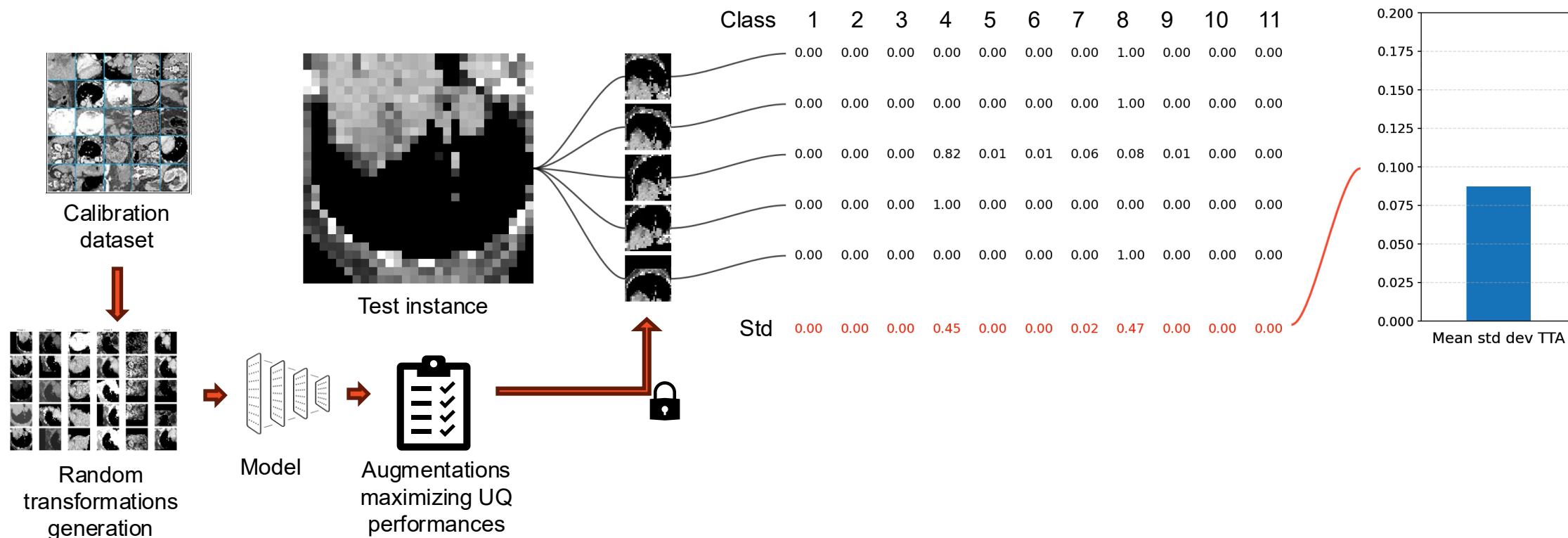
TTA std OrganAMNIST  
(AUC=0.76)



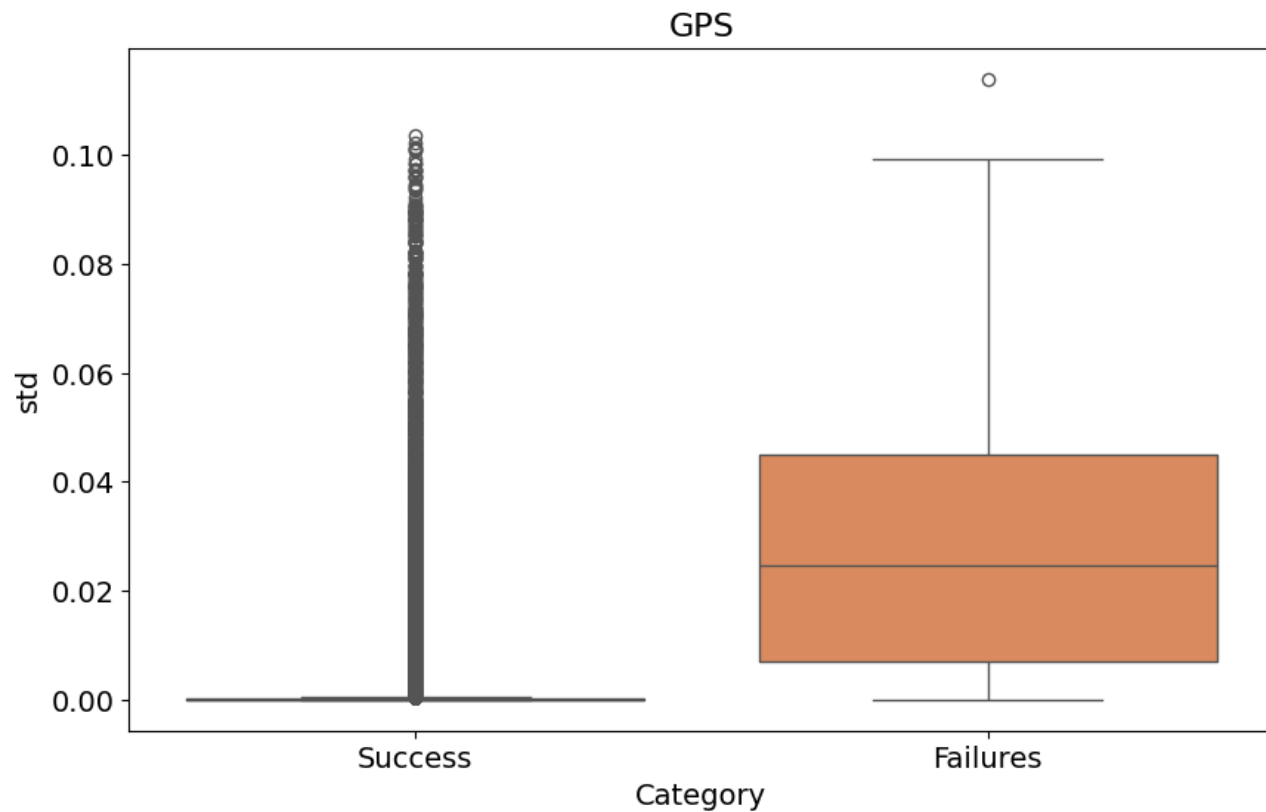
TTA std BreastMNIST  
(AUC=0.64)

# Greedy Policy Search (GPS)

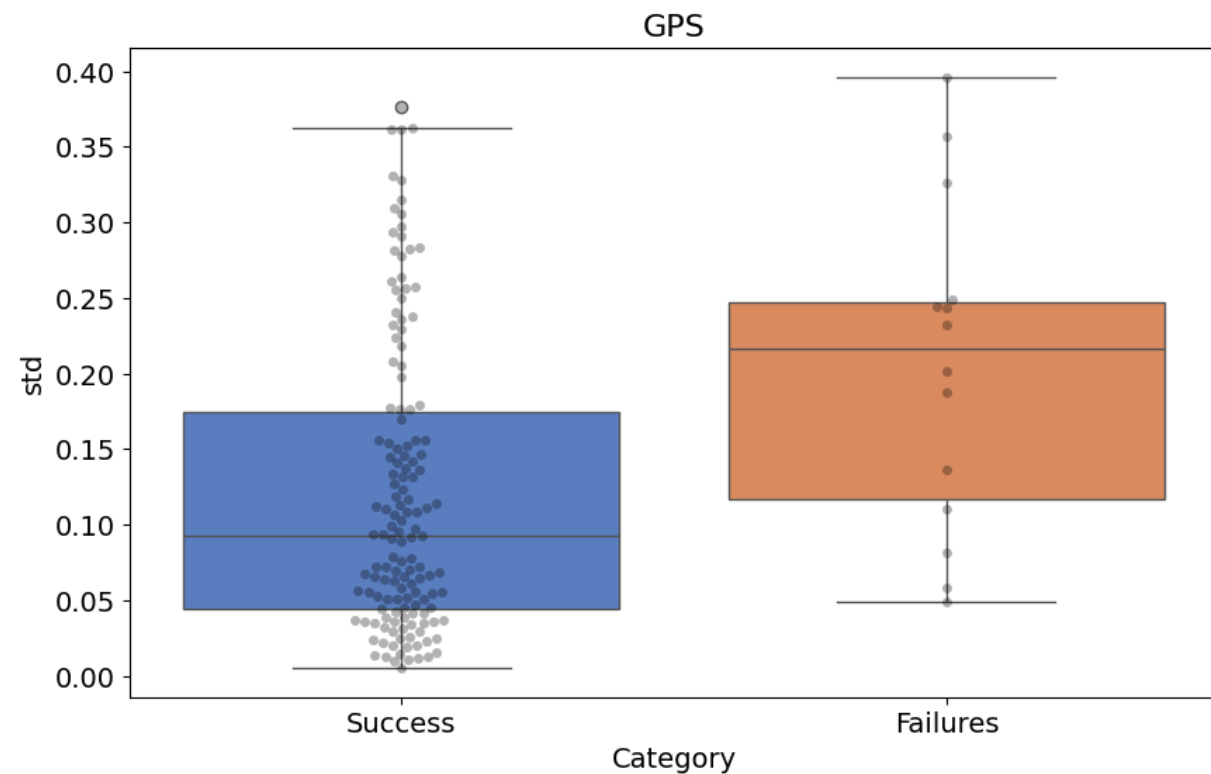
Standard deviation of predictions after learned augmentations using a calibration dataset (softmax for multiclass – sigmoid for binary classification)



# Greedy Policy Search (GPS)



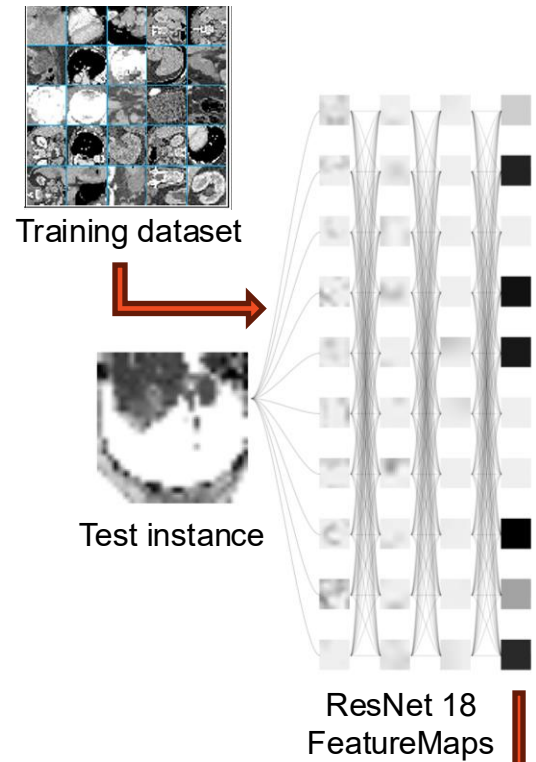
GPS std OrganAMNIST  
(AUC=0.93)



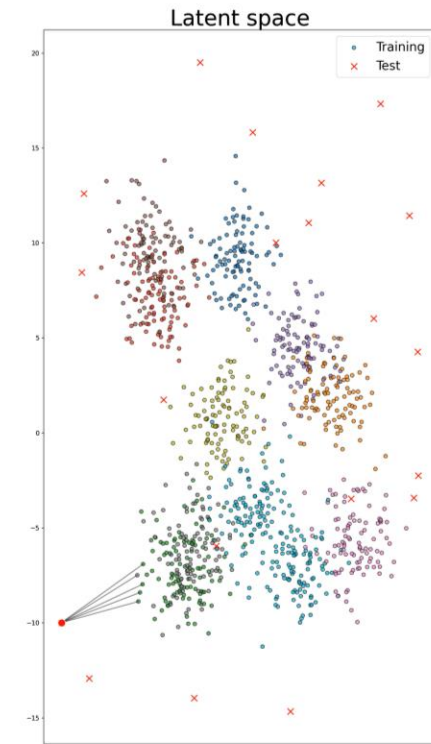
GPS std BreastMNIST  
(AUC=0.73)

# Distance to KNN in latent space (KNNall)

Nearest neighbors  
distance with training  
data in latent space



PCA dimension reduction with  
0.8 explained variability (from  
512  $\rightarrow$  ndim)

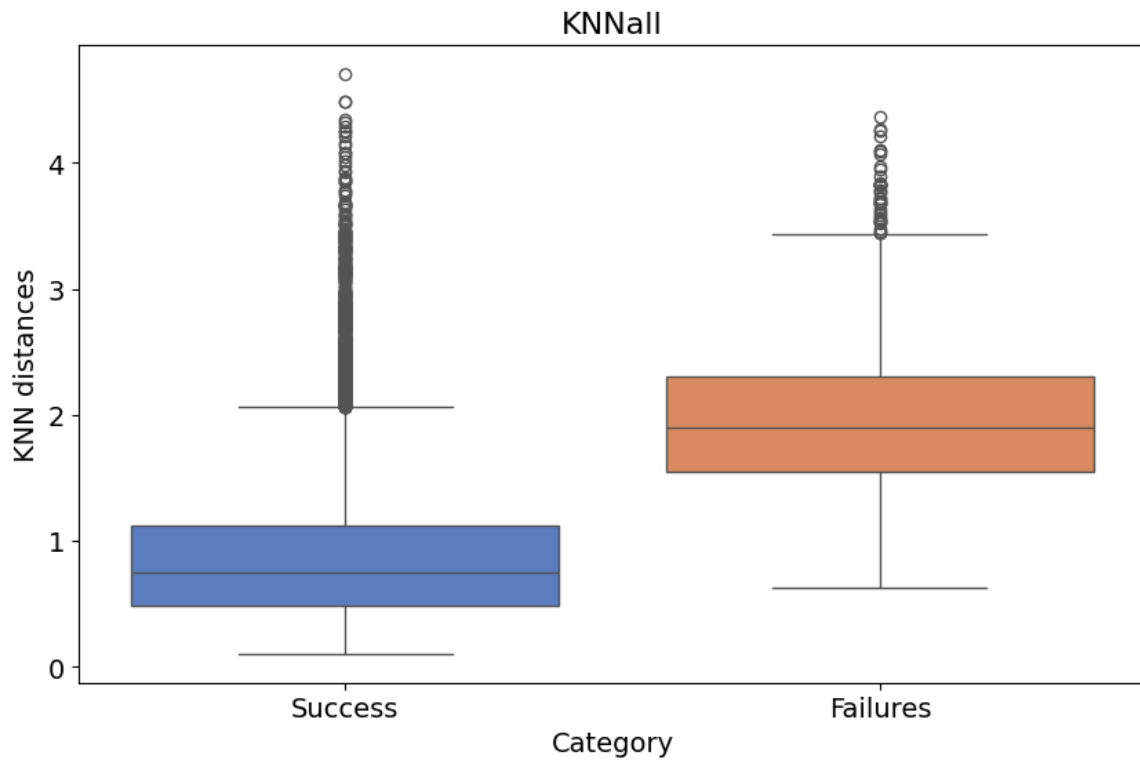


Mean 5NN Dist

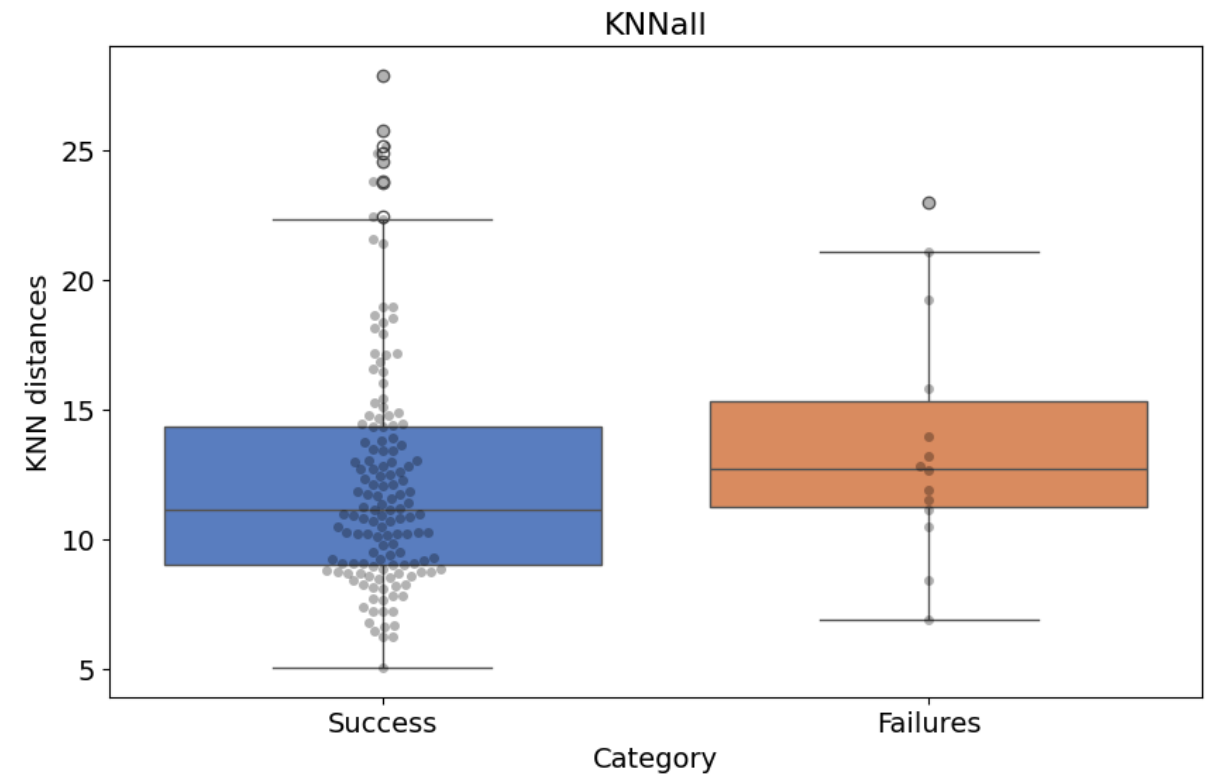


Projection of test instance and train data  
into reduced latent space. Computation  
of mean distance with 5 training data NN

# Distance to KNN in latent space (KNNall)



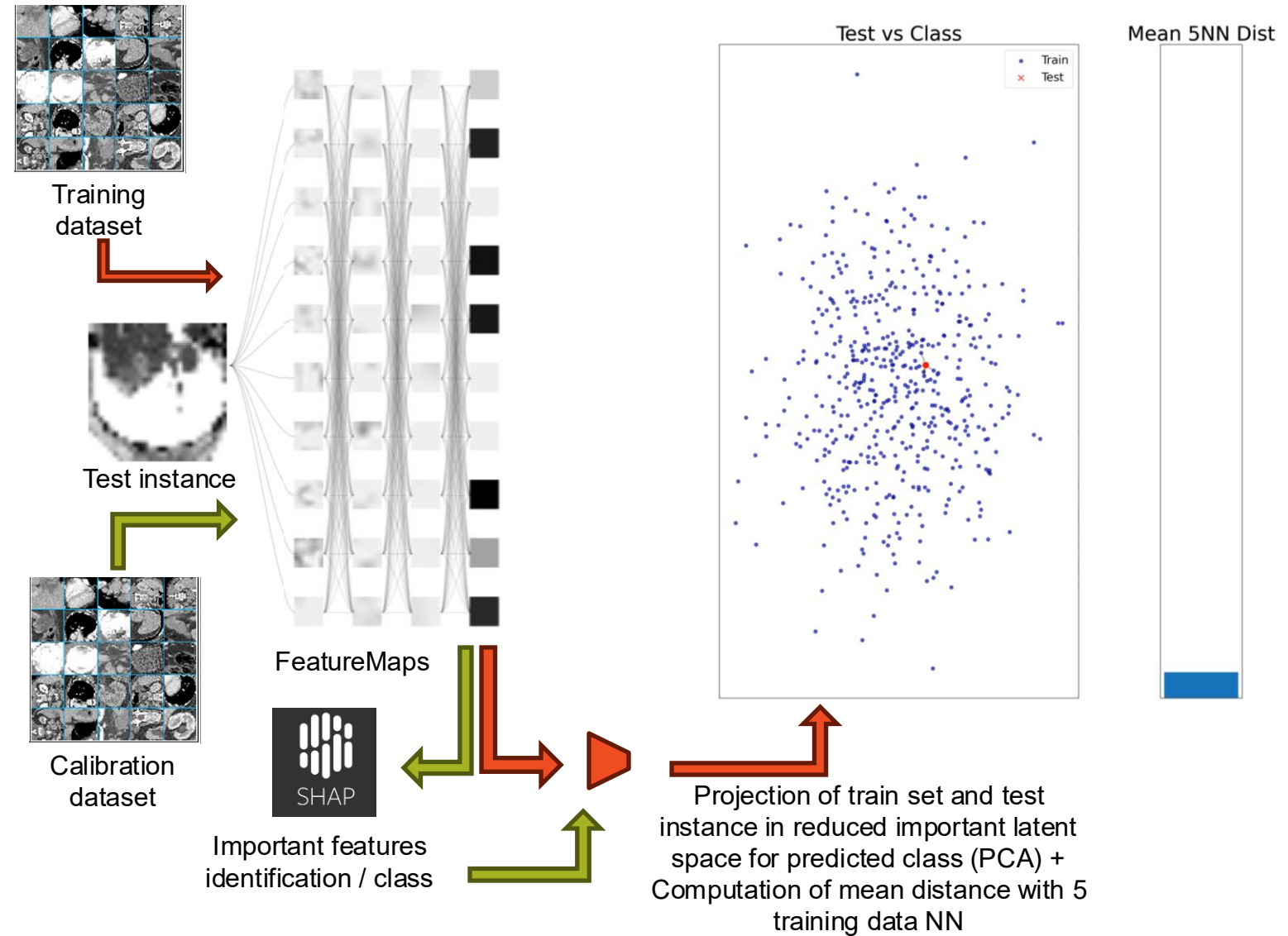
KNNall OrganAMNIST  
(AUC=0.91)



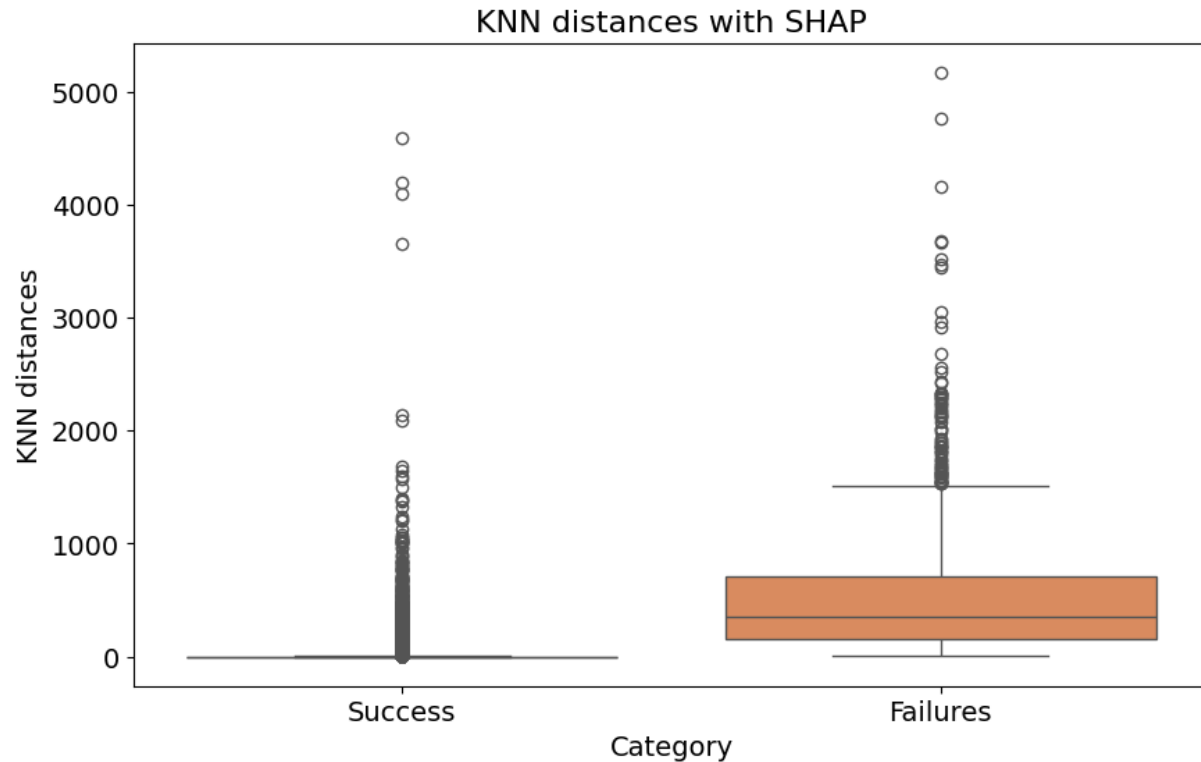
KNNall BreastMNIST  
(AUC=0.61)

# Distance to KNN in latent space after features selection (KNNshap)

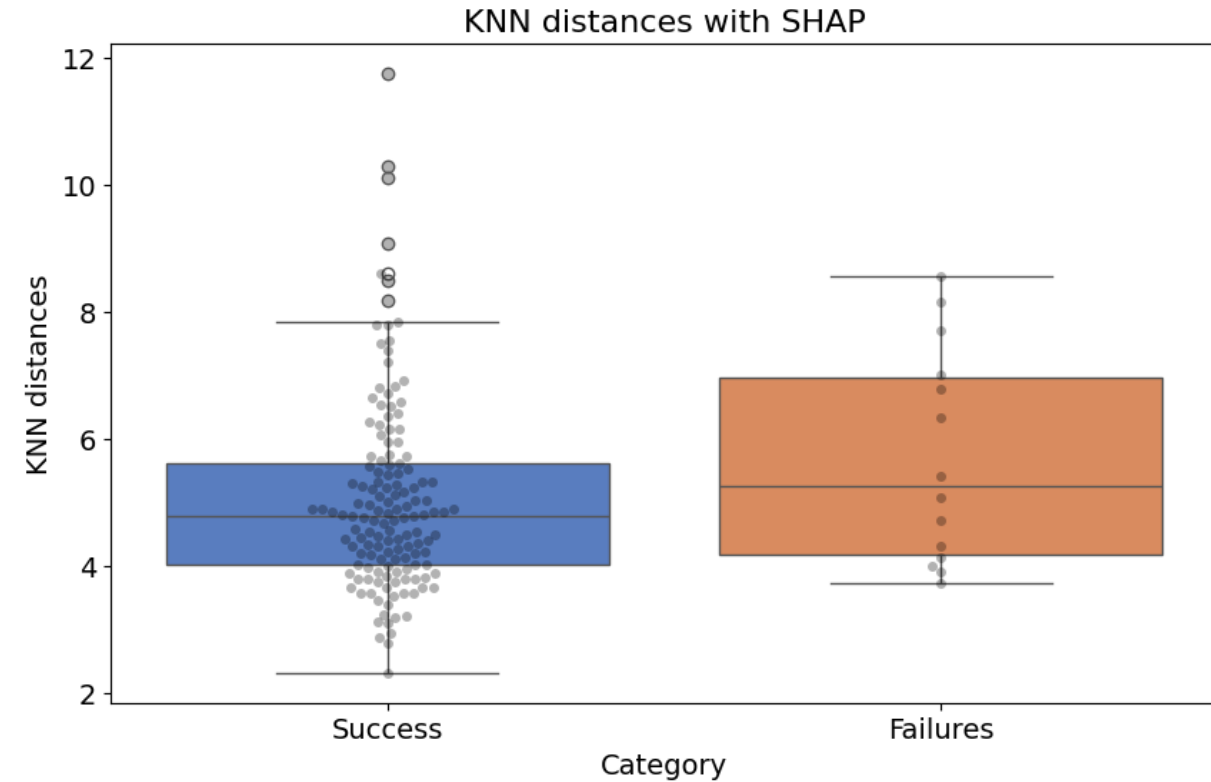
Nearest neighbors distance with training data in latent space after class-features selection using Shap values



# Distance to KNN in latent space after features selection (KNNshap)



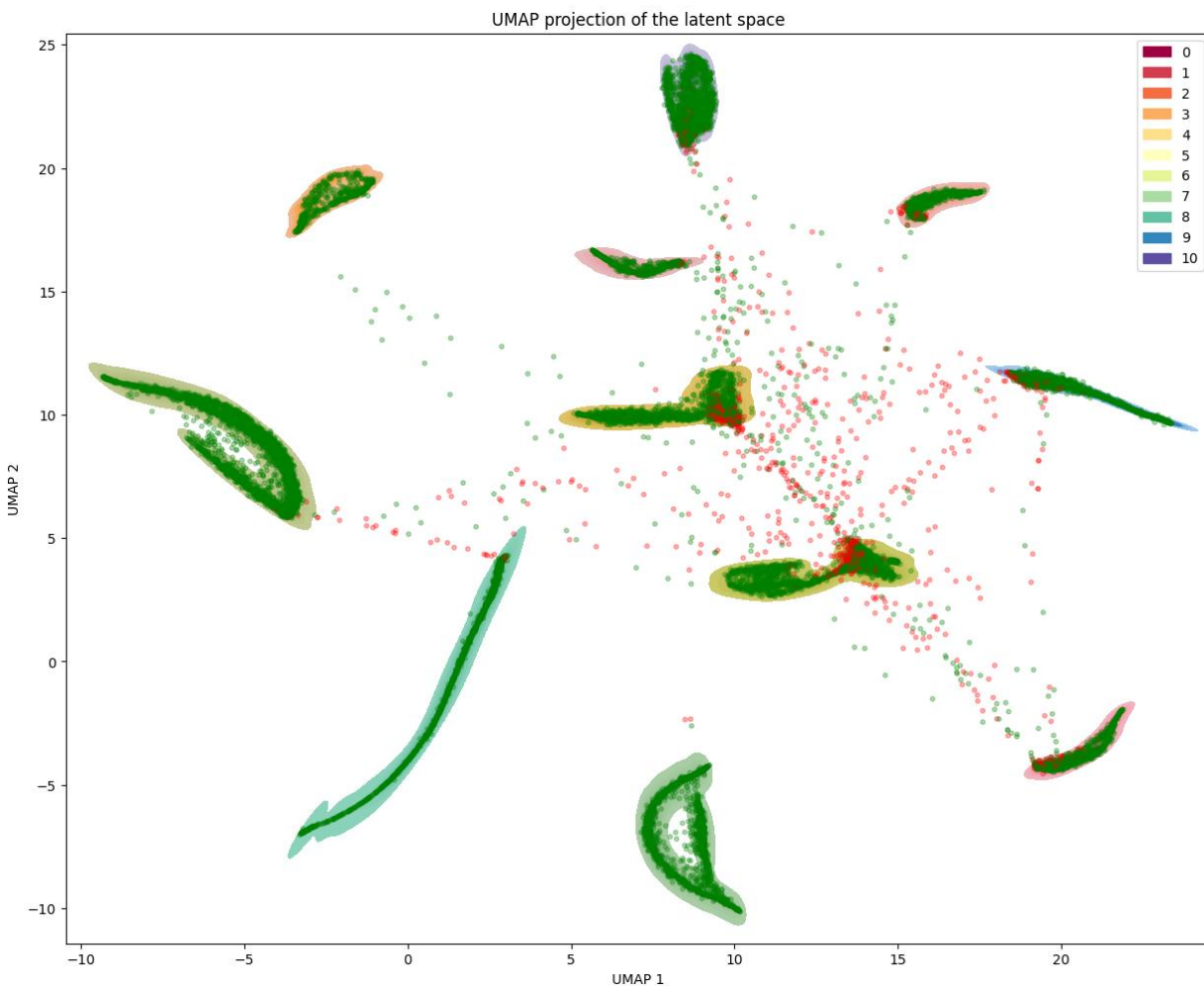
KNNshap OrganAMNIST  
(AUC=0.98)



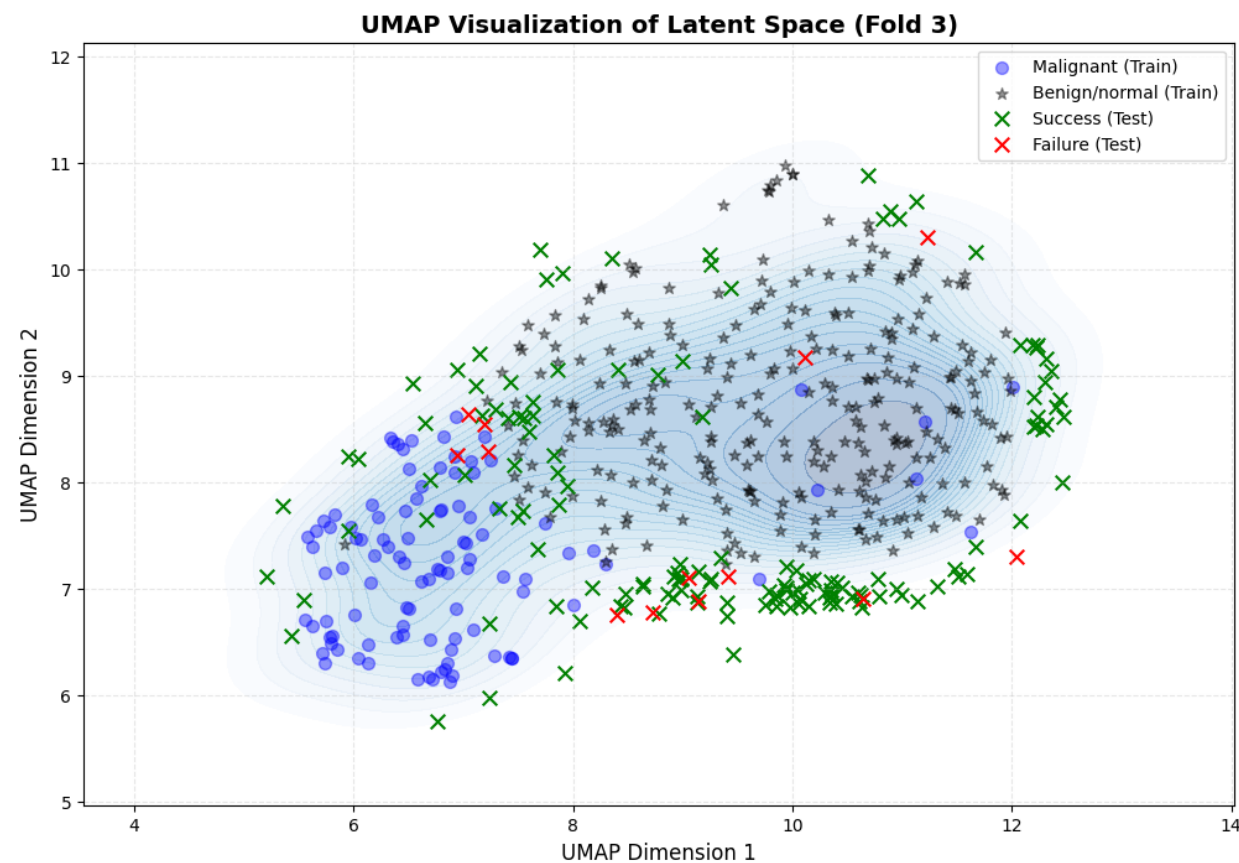
KNNshap BreastMNIST  
(AUC=0.6)



# UMAP latent space examples

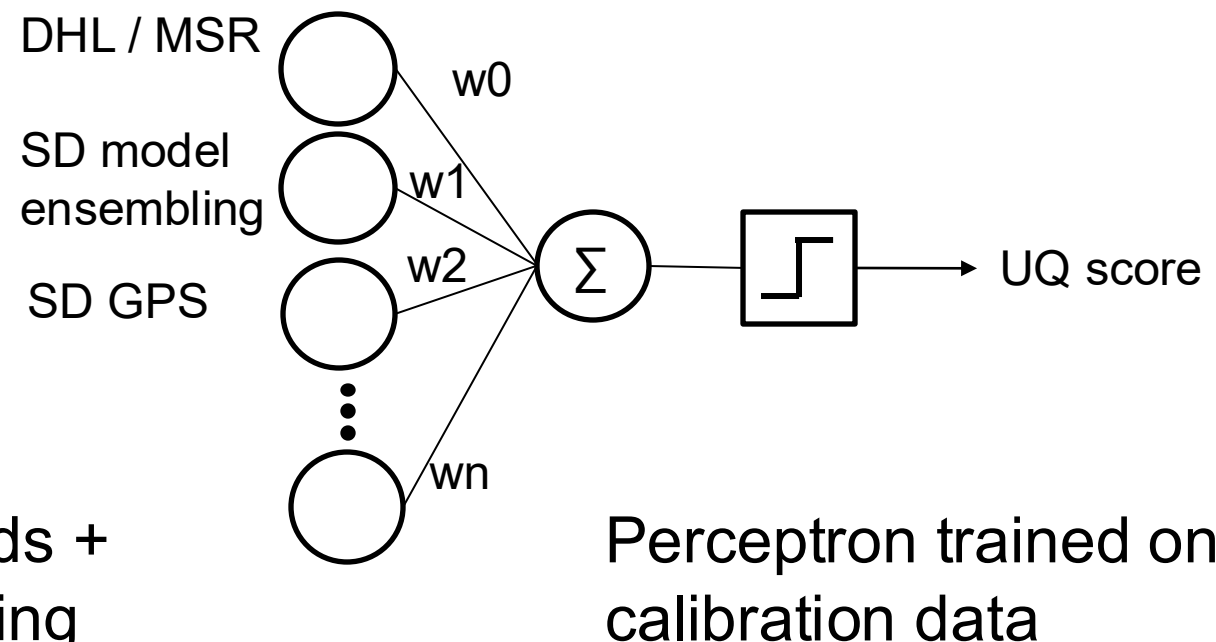
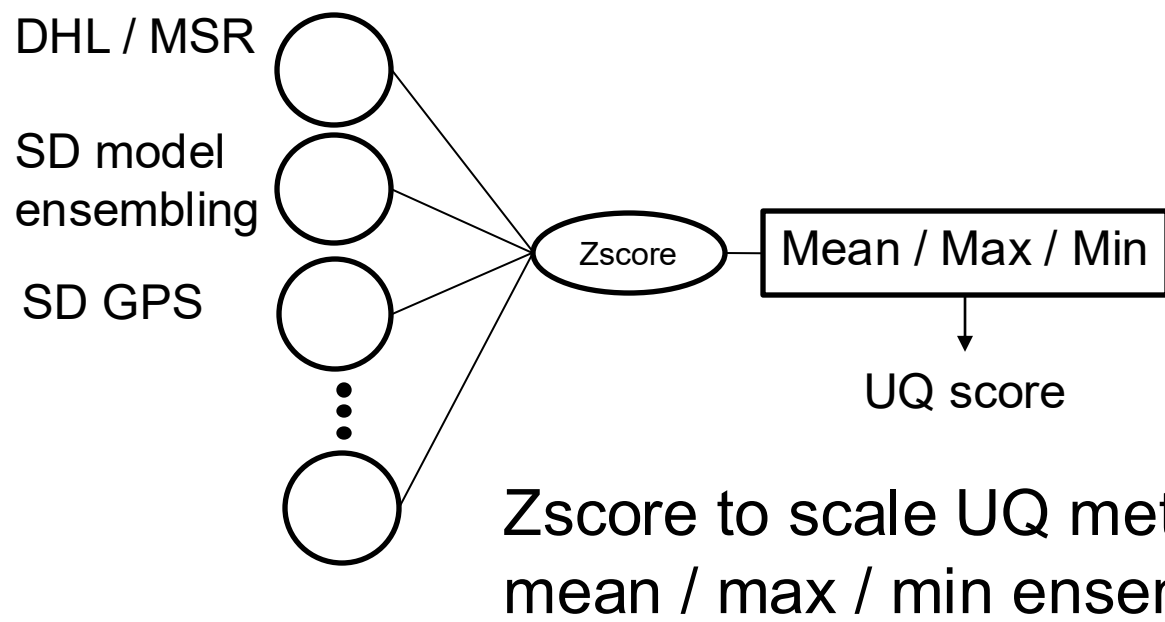


OrganAMNIST



BreastMNIST

# Methods combination (Mean, Max, Min, Perceptron)

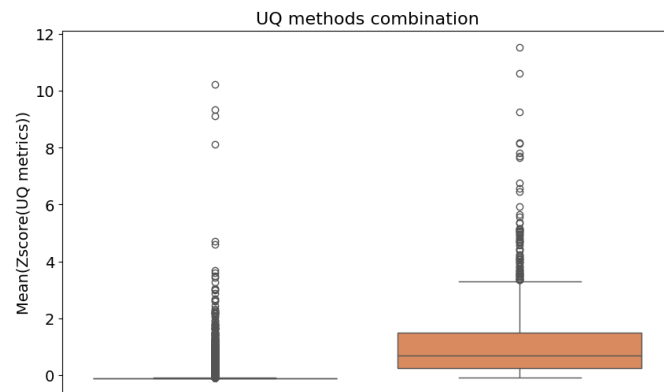


|                        | MSR | Temp scaling output | Ensembling | TTA | GPS | KNNall | KNNshap |
|------------------------|-----|---------------------|------------|-----|-----|--------|---------|
| Softmax/Sigmoid output | Y   | Y                   | Y          | Y   | Y   | Y      | Y       |
| Models outputs         | N   | N                   | Y          | N   | N   | N      | N       |
| Train data             | N   | N                   | N          | N   | N   | Y      | Y       |
| Calibration data       | N   | Y                   | N          | N   | Y   | N      | Y       |
| Final layer access     | N   | N                   | N          | N   | N   | Y      | Y       |

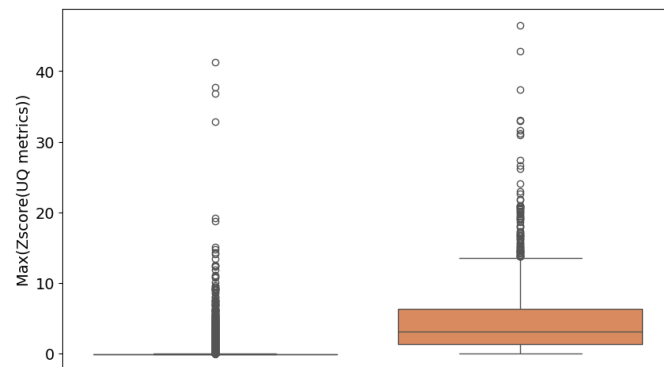
# Methods combination (Mean, Max, Min)

## OrganAMNIST

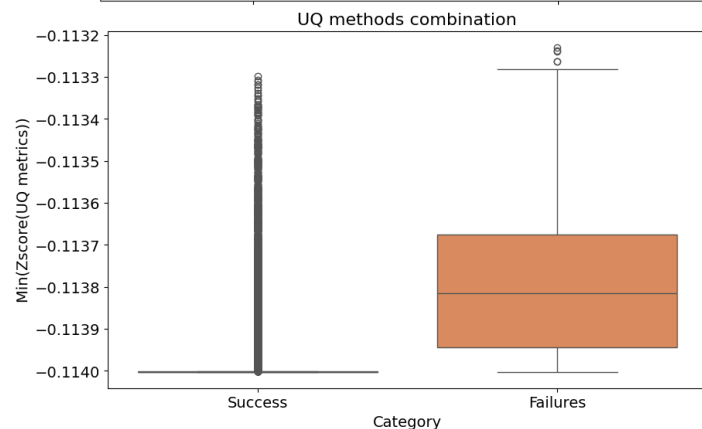
Mean Comb  
(AUC=0.98)



Max Comb  
(AUC=0.98)

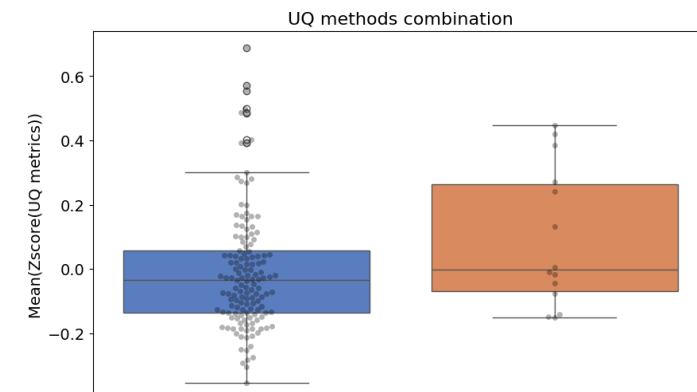


Min Comb  
(AUC=0.94)

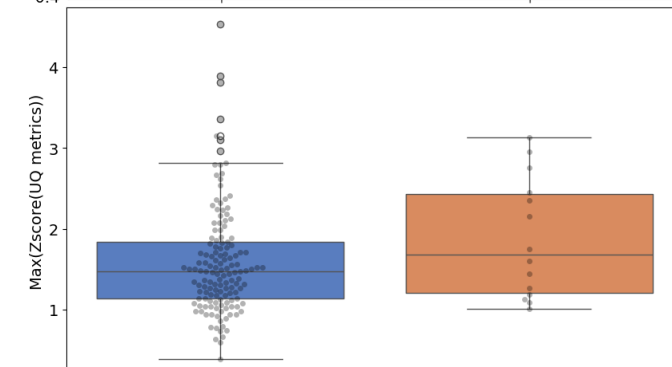


## BreastMNIST

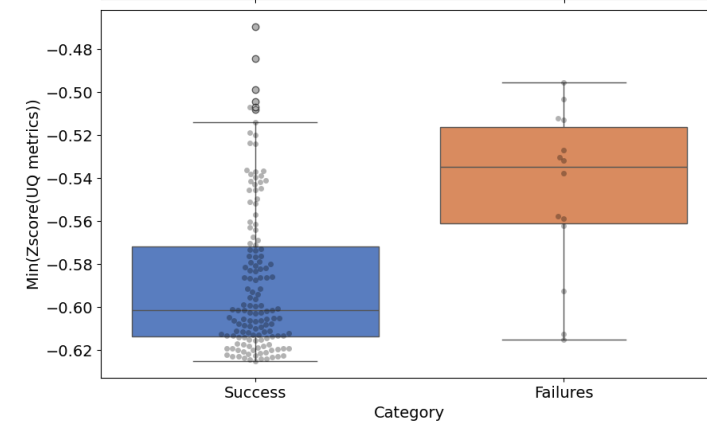
Mean Comb  
(AUC=0.63)



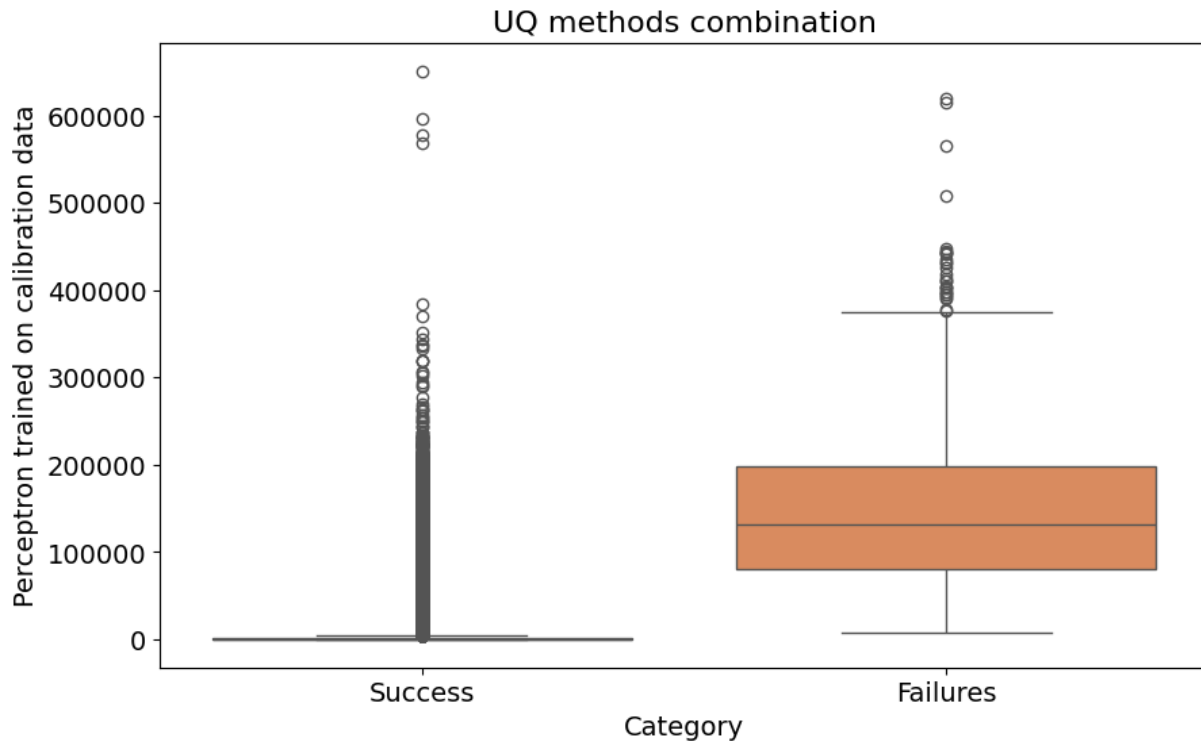
Max Comb  
(AUC=0.6)



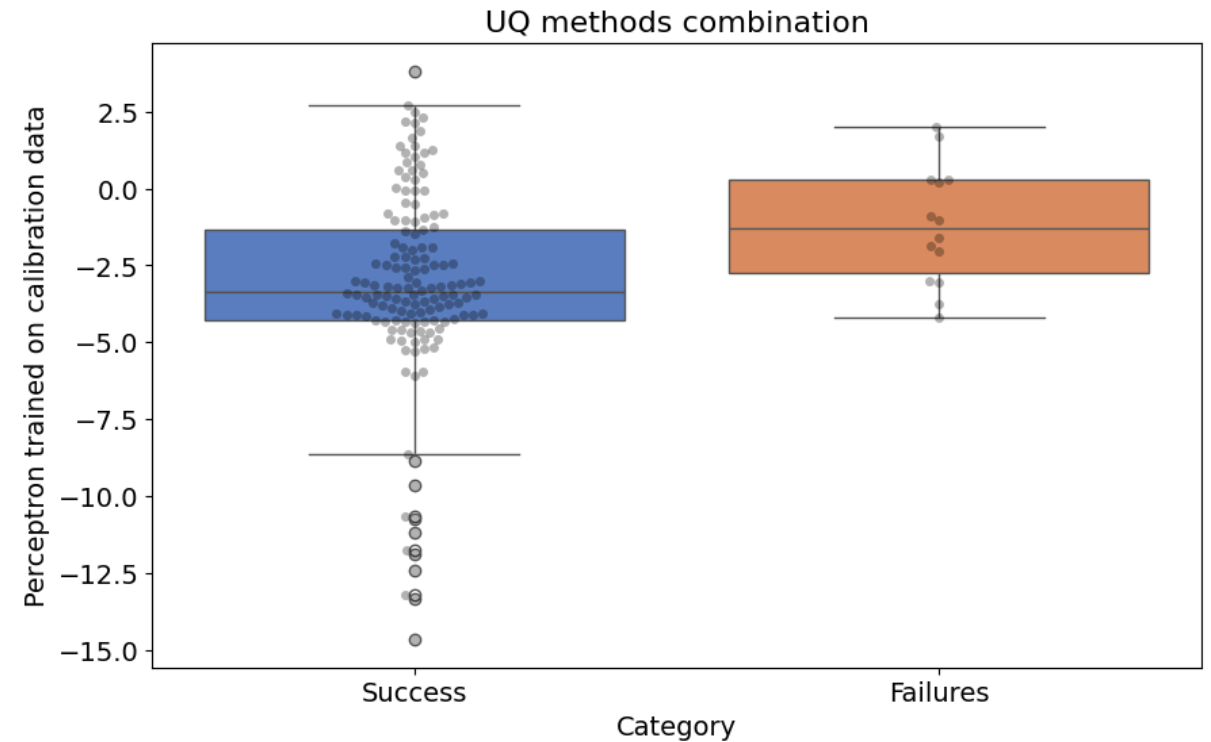
Min Comb  
(AUC=0.79)



# Methods combination (Perceptron)



Perceptron Organamnist  
(AUC=0.97)

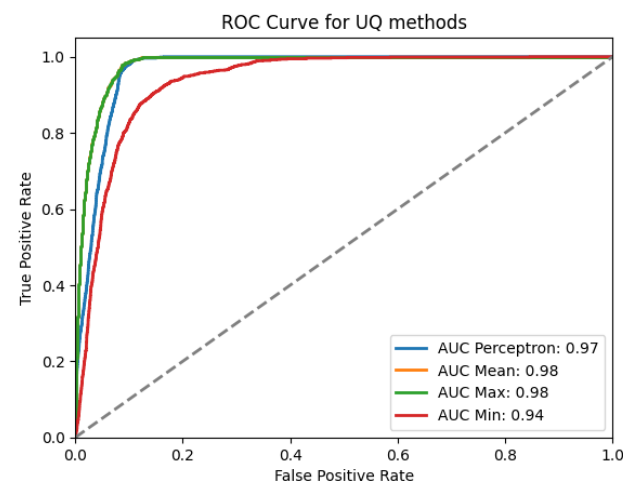
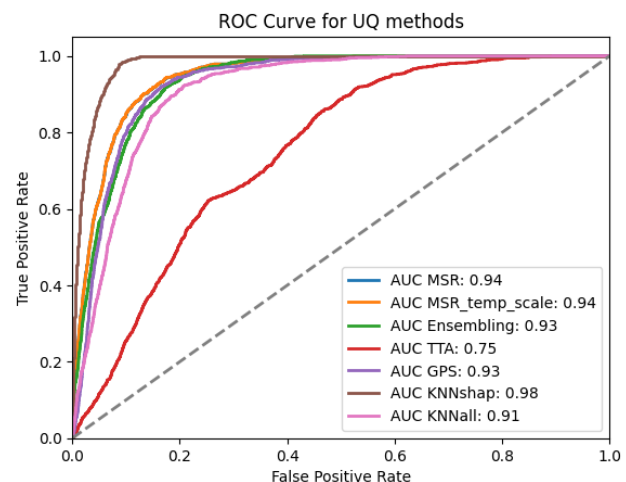


Perceptron Breastmnist  
(AUC=0.72)

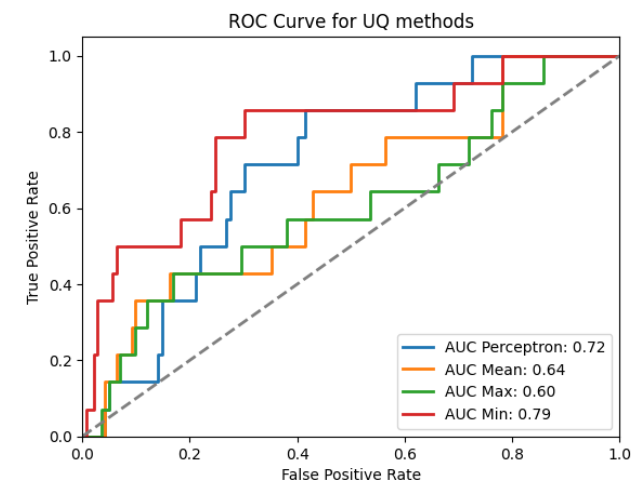
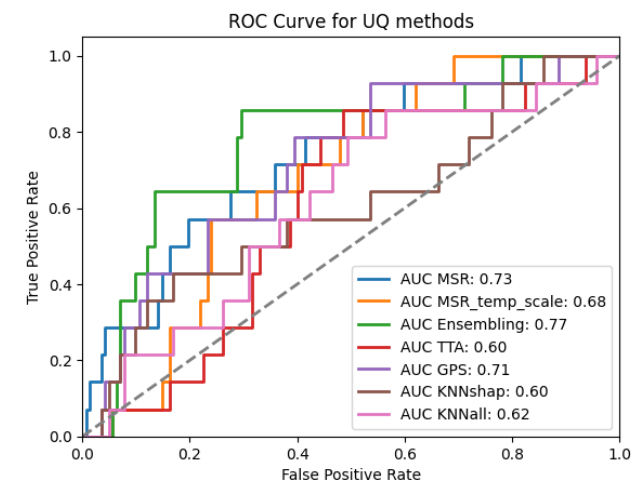
# UQ methods comparison and combination

Combination → as good/better than best UQ method. Ensure maximum performances ? → Tbc on other use cases

AUC not necessarily best metric to evaluate UQ → if 0% error rate needed, very high AUC can still lead to poor/zero sensitivity



OrganAMNIST



BreastMNIST

# UQ method comparison and combination

| UQ methods          |      | MSR / DHL | Temp scaling output | Ensembling  | TTA         | GPS  | KNNall      | KNNshap | Mean | Max  | Min         | Perceptron  |
|---------------------|------|-----------|---------------------|-------------|-------------|------|-------------|---------|------|------|-------------|-------------|
| breastMNIST<br>bAcc | AUC  | 0.73      | 0.68                | 0.77        | 0.64        | 0.73 | 0.61        | 0.6     | 0.63 | 0.6  | <b>0.79</b> | 0.72        |
|                     | bAcc | 0.69      | 0.67                | 0.78        | 0.64        | 0.71 | 0.64        | 0.63    | 0.63 | 0.63 | <b>0.79</b> | 0.72        |
|                     | Spec | 0.57      | <b>0.86</b>         | <b>0.86</b> | 0.43        | 0.64 | <b>0.86</b> | 0.43    | 0.36 | 0.43 | 0.79        | <b>0.86</b> |
|                     | Sens | 0.8       | 0.48                | 0.7         | <b>0.85</b> | 0.77 | 0.42        | 0.83    | 0.9  | 0.83 | 0.79        | 0.58        |
| breastMNIST<br>spec | AUC  | 0.73      | 0.68                | 0.77        | 0.64        | 0.73 | 0.61        | 0.6     | 0.63 | 0.6  | <b>0.79</b> | 0.72        |
|                     | bAcc | 0.59      | <b>0.65</b>         | 0.6         | 0.55        | 0.64 | 0.52        | 0.57    | 0.61 | 0.57 | 0.61        | 0.64        |
|                     | Spec | 1         | 1                   | 1           | 1           | 1    | 1           | 1       | 1    | 1    | 1           | 1           |
|                     | Sens | 0.18      | <b>0.3</b>          | 0.2         | 0.09        | 0.27 | 0.05        | 0.14    | 0.21 | 0.14 | 0.21        | 0.27        |

| UQ methods          |      | MSR / DHL | Temp scaling output | Ensembling | TTA  | GPS  | KNNall | KNNshap     | Mean        | Max         | Min  | Perceptron  |
|---------------------|------|-----------|---------------------|------------|------|------|--------|-------------|-------------|-------------|------|-------------|
| organAMNIST<br>bAcc | AUC  | 0.94      | 0.94                | 0.93       | 0.76 | 0.93 | 0.91   | <b>0.98</b> | <b>0.98</b> | <b>0.98</b> | 0.94 | 0.97        |
|                     | bAcc | 0.88      | 0.88                | 0.87       | 0.71 | 0.87 | 0.86   | <b>0.95</b> | <b>0.95</b> | <b>0.95</b> | 0.88 | 0.94        |
|                     | Spec | 0.9       | 0.9                 | 0.92       | 0.91 | 0.9  | 0.91   | 0.98        | 0.98        | 0.98        | 0.91 | <b>0.99</b> |
|                     | Sens | 0.87      | 0.87                | 0.83       | 0.51 | 0.85 | 0.8    | <b>0.91</b> | <b>0.91</b> | <b>0.91</b> | 0.85 | 0.89        |
| organAMNIST<br>spec | AUC  | 0.94      | 0.94                | 0.93       | 0.76 | 0.93 | 0.91   | <b>0.98</b> | <b>0.98</b> | <b>0.98</b> | 0.94 | 0.97        |
|                     | bAcc | 0.54      | 0.57                | 0.5        | 0.5  | 0.5  | 0.69   | 0.5         | 0.5         | 0.5         | 0.57 | <b>0.92</b> |
|                     | Spec | 1         | 1                   | 1          | 1    | 1    | 1      | 1           | 1           | 1           | 1    | 1           |
|                     | Sens | 0.09      | 0.14                | 0          | 0    | 0    | 0.38   | 0           | 0           | 0           | 0.14 | <b>0.83</b> |

# New applications and use cases

|                                         | Binary classification                    | Multiclass classification | Segmentation                                               | Regression                                                                        |
|-----------------------------------------|------------------------------------------|---------------------------|------------------------------------------------------------|-----------------------------------------------------------------------------------|
| MSR / Temp scaling                      | ✓                                        | ✓                         | Needs to be adapted (one distance/voxel) --> heatmap ?     | ✗                                                                                 |
| TTA / GPS                               | ✓                                        | ✓                         | Needs to be adapted (one distance/voxel) --> heatmap ?     | ✓                                                                                 |
| Ensembling models variability           | ✓                                        | ✓                         | Needs to be adapted (one distance/voxel) --> heatmap ?     | ✓                                                                                 |
| Distance to boundary latent space / KNN | ✓                                        | ✓                         | May be difficult because of skip connexions --> use of VAE | Needs to be adapted (no discrete boundaries) --> distance to train distribution ? |
| Use cases                               | BI-RADS shape classification<br>MedMNIST | MedMNIST                  | BI-RADS segmentation<br>MSD decathlon                      | Use cases to be found                                                             |